

## Mid-IR Nonlinear Integrated Photonics: Physics and Devices

**Richard M. Osgood, Jr.**,<sup>1</sup> Xiaoping Liu,<sup>1</sup> Spyros Lavdas<sup>2</sup>, Jerry Dadap<sup>1</sup>, Brian Souhan<sup>1</sup>, Jeff Driscoll<sup>1</sup>, Rich Grote<sup>1</sup>, Bart Kuyken,<sup>3,4</sup> Gunther Roelkens,<sup>3,4</sup> Nicolae C. Panou<sup>2</sup>, Roel Baets,<sup>3,4</sup> William M. J. Green<sup>5</sup>

<sup>1</sup> Departments of Applied Physics and Electrical Engineering, Columbia University, NY

<sup>2</sup> Department of Electronic and Electrical Engineering, University College London, Torrington Place, London WC1E 7JE, United Kingdom

<sup>2</sup> Photonics Research Group, Ghent University, Ghent, Belgium

<sup>3</sup> Center for Nano- and Biophotonics, Ghent, Belgium

<sup>4</sup> IBM Thomas J. Watson Research Center, Yorktown Heights, NY

e-mail: [osgood@columbia.edu](mailto:osgood@columbia.edu)

**Abstract**— We discuss our recent developments using silicon nanophotonic wires as nonlinear media at mid-IR wavelengths both to observe nonlinear optical physics and to explore specific application.

**Keywords**- Nonlinear optics, mid-IR, integrated photonics, Silicon photonics.

### I. INTRODUCTION

Photonic research on silicon platforms has attracted much attention over the past several decades because it is envisioned to be an important future foundation for a unified photonic platform. Such a foundation could in principle enable production of most telecommunication components at a low-cost, highly-compact and mass-production-ready fashion [1]. This interest has spurred research into novel application areas based on the components produced using the same CMOS photonics technology, including mid-infrared silicon photonics [2-9], silicon bio-photonics, and other applications.

One particular applications area is that of nonlinear effects in Si photonic wires at telecommunications wavelengths bands, which has been shown to provide a fascinating and useful medium for nonlinear optical physics and applications in data communications. Here we discuss our recent developments using silicon nanophotonic wires as nonlinear media at mid-IR wavelengths both to observe nonlinear optical physics and to explore specific application.

### II. NONLINEAR PROPERTIES

Silicon's lowest-order nonlinearity is the third-order nonlinearity or Kerr effect. Considering the high refractive index contrast on the silicon platform, the effective nonlinear parameter for a silicon nanophotonic wire is five orders of magnitude larger than that of an optical fiber ( $100 \text{ W}^{-1} \text{ m}^{-1}$  vs.  $1 \text{ W}^{-1} \text{ km}^{-1}$ ) [10]. As a result, strong optical nonlinear interaction can be observed in a silicon nanophotonic wire with a length of only a few millimeters compared with several hundred of meters in the case of an optical fiber.

In recent years, various third-order nonlinear effects in silicon nanophotonic wires have been studied [10, 11, 12]. In these studies it has been shown that the nonlinear efficiency in the telecom band is largely suppressed by the optical limiting effect due to silicon's two-photon absorption (TPA) loss

as well as its TPA-induced free-carrier absorption (FCA) loss [11]. Although the FCA loss can be drastically suppressed by reducing free-carrier lifetime by means of reverse biasing and ion implantation, the inherent loss of TPA in silicon remains unchanged. However, strong TPA absorption in silicon can be eliminated by shifting the wavelength of operation from telecom to mid-infrared. At 300K, silicon has a bandgap  $\sim 1.12 \text{ eV}$  which corresponds to a linear absorption cut-off wavelength of  $1.1 \mu\text{m}$ . Therefore the cut-off wavelength for TPA in silicon is  $\sim 2.2 \mu\text{m}$ . The vanishing TPA for mid-infrared wavelengths beyond  $2.2 \mu\text{m}$  [16], which, coupled with silicon's large nonlinear index of refraction and its strong waveguide optical confinement, enables efficient nonlinear processes in the mid-infrared.

By taking advantage of these nonlinear processes and through judicious use of dispersion engineering in Si photonic wires, we have recently demonstrated a handful of Si mid-IR nonlinear components, including optical parametric amplifiers (OPA) [3], broadband sources [6], a wavelength translator [2] and an optical parametric oscillator [13]. Silicon nanophotonic waveguide's anomalous dispersion design enabled by varying the wire cross-section and/or changing the surrounding materials, providing four-wave-mixing (FWM) phase-matching, enables us to have reported the first demonstration of a silicon mid-IR optical parametric amplifier (OPA) with a net off-chip gain exceeding 13 dB. In addition, by exploiting a new phase-matching scheme with a balanced second and fourth order waveguide dispersion, an OPA with an extremely broadband gain spectrum from 1.9-2.5  $\mu\text{m}$  and  $>50 \text{ dB}$  parametric gain has been demonstrated, upon which several novel silicon mid-IR light sources have been built, including a mid-IR optical parametric oscillator, and a supercontinuum source. Finally, a mid-IR wavelength translation device, capable of translating signals near 2.4  $\mu\text{m}$  to the telecom-band near 1.6  $\mu\text{m}$  with simultaneous 19 dB gain, has been demonstrated.

### III. THEORY

The low-loss properties of Si in the mid-IR also lend themselves to being an almost ideal nonlinear medium for studying the physics of pulse propagation in nanowires. Our group has examined these physics using a comprehensive numerical approach first studies at  $\lambda \sim 1.55 \mu\text{m}$ . Our work includes comparative wavelength studies of parabolic

self-similar optical pulses [13] and pulse compression [14] in adiabatically tapered Si photonic nanowires (Si-PhNWs). Our theoretical and computational study is based on a rigorous model that describes the coupled dynamics of the optical field and photo-generated free carriers, as well as the influence of the physical and geometrical parameters of the Si-PhNWs on these dynamics. In addition, we have also examined pulse propagation in many of the components or devices and waveguide structures used in our experimental work, with the intent in these latter cases to understand specific device effects. Thus our study of the enhanced conversion efficiency (CE) and parametric amplification of optical pulses via quasi-phase-matched four-wave-mixing (FWM) in long-period Bragg Si waveguides suggests that, in the anomalous group-velocity dispersion regime, a CE enhancement of  $> 20$  dB, compared to the case of a constant width waveguide, can be easily achieved.

In more detail, our study of generation of parabolic self-similar optical pulses in tapered Si photonic nanowires demonstrates that, in the normal dispersion regime, optical pulses evolve naturally into parabolic pulses upon propagation in millimeter-long tapered Si-PhNWs, with the efficiency of this pulse-resaping process being strongly dependent on the spectral and pulse parameter regime, in which the device operates, as well as the particular shape of the Si-PhNWs. In addition, our comprehensive analysis of pulse compression in adiabatically tapered Si-PhNWs considers both the soliton and non-soliton pulse propagation regimes. We show that by engineering the linear and nonlinear optical properties of Si- Si-PhNWs through adiabatically varying their width, one can achieve more than  $10\times$  pulse compression in millimeter-long waveguides. In both of these studies we compare the response of Si-PhNWs for both  $\lambda = 1.55 \mu\text{m}$  and mid-IR wavelengths.

#### IV. ENABLING ON-CHIP IR COMPONENTS

A final aspect of our work has been to develop new mid-IR components to enable full integration of a nonlinear optical system or subsystem. One of these components is a new approach to on-chip quasi-phase matching. In our case, we wished to use this to enable greater efficiency in connecting the standard telecomm band to the mid-IR.

A second key component is a viable on-chip detector of mid-IR. Our approach to this makes use of ion-implanted Si waveguide photodetectors (PD), which we and others, including the Knight and the Geis Groups, have recently developed to be incorporated into numerous photonic integrated circuits and systems. By implanting Si with a selected atomic species, photodetection from 1550 nm to beyond 1900 nm has been achieved, opening up the ability to incorporate detectors for the telecom band and beyond in integrated Si systems. These devices have been demonstrated with bandwidths  $> 35$  GHz and responsivities  $< 10$  A/W along with error-free data transmission at wavelengths of 1550 nm and 1900 nm. These ion-implanted waveguide PDs have been incorporated in Si photonic devices for power monitor-

ing, wavelength monitoring, thermal tuning, and variable optical attenuation. Recently our group has explored the use of several strategies for extending this wavelength into the mid-IR range.

#### V SUMMARY

We have presented a comprehensive study of nonlinear mid-IR propagation and propagation devices in Si. This nonlinear medium is both low loss and highly nonlinear in the mid-IR, thus enabling a 'fiber-on-a-chip' capability.

#### ACKNOWLEDGMENT

The work described here (US) has benefitted from support by AFOSR (Gernot Pomrenke), NSF (Charles Ying), a Columbia NSF IGERT, RSoft software support, and student support from IBM.

#### REFERENCES

- [1] Reed, G. T., *Silicon Photonics: The State of the Art*, John Wiley & Sons, New York, NY, USA, 2008.
- [2] Liu X. P., Kuyken B., Roelkens G., Baets R., Osgood R. M., and Green W. M. J., "Bridging the mid-infrared-to-telecom gap with silicon nanophotonic spectral translation", *Nature Photonics* 6, 667-671 (2012).
- [3] Liu X. P., Osgood R. M., Vlasov Y. A., and Green W. M. J., "Mid-infrared optical parametric amplifier using silicon nanophotonic waveguides," *Nature Photonics* 4, 557 - 560 (2010).
- [4] Liu X. P., Driscoll J. B., Dadap J. I., Osgood R. M., Assefa S., Vlasov Y. A., and Green W. M. J., " Self-phase modulation and nonlinear loss in silicon nanophotonic wires near the mid-infrared two-photon absorption edge," *Optics Express* 19, 7778-7789 (2011).
- [5] Kuyken B., Liu X. P., Roelkens G., Baets R., Vlasov Y. A., Osgood R. M., and Green W. M., "50 dB parametric on-chip gain in silicon photonics wires," *Optics Letters* 36, 4401-4403 (2011)
- [6] Kuyken B., Liu X. P., Osgood R. M., Vlasov Y. A., Baets R., Roelkens G., and Green W. M., "Mid-infrared to telecom-band supercontinuum generation in highly nonlinear silicon-on-insulator wire waveguides," *Optics Express* 19, 20172-20181 (2011).
- [7] Shankar R., Leijssen R., Bulu I., and Lončar M., "Mid-infrared photonic crystal cavities in silicon," *Optics Express* 19, 5579-5586 (2011).
- [8] Milošević M. M., Nedeljkovic M., Ben Masaud T. M., Jaberansary E., Chong H. M. H., Emerson N. G., Reed G. T. and Mashanovich G. Z., "Silicon waveguides and devices for the mid-infrared", *Appl. Phys. Lett.* 101, 121105 (2012).
- [9] Soref R., "Mid-infrared photonics in silicon and germanium", *Nature Photonics* 4, 495 - 497 (2010).
- [10] Osgood R. M., Panoiu N. C., Dadap J. I., Liu X., Chen X., Hsieh I. W., Dulkeith E., Green W. M. J., and Vlasov Y. A., "Engineering nonlinearities in nanoscale optical systems: physics and applications in dispersion-engineered silicon nanophotonic wires," *Advances in Optics and Photonics* 1, 162-235 (2009).
- [11] Foster M. A., Turner A. C., Lipson M., and Gaeta A. L., "Nonlinear optics in photonic nanowires", *Optics Express* 16, 1535-1547 (2008).
- [12] Lin Q., Painter O. J., and Agrawal G. P., "Nonlinear optical phenomena in silicon waveguides: Modeling and applications", *Optics Express* 15, 16604-16644 (2007).
- [13] S. Lavdas, J. B. Driscoll, H. Jiang, R. R. Grote, R. M. Osgood, Jr., N.C. Panoiu, "Generation of parabolic similaritons in tapered silicon photonic wires: comparison of pulse dynamics at telecom and mid-infrared wavelengths", *Opt. Lett.* 38, 3953 (2013).
- [14] S. Lavdas, J. B. Driscoll, R. R. Grote, R. M. Osgood, N. C. Panoiu, "Pulse compression in adiabatically tapered silicon photonic wires", *Opt. Express* 22, 6296 (2014).



# SUM 2014

## 2014 Summer Topicals Meeting Series

14-16 July 2014, Delta Montréal  
Montréal, Québec, Canada

CONFERENCE INFORMATION

PAPERS BY SESSION

PAPERS BY AUTHOR

GETTING STARTED

TRADEMARKS

SEARCH

Published by  
**CPS**  
Conference Publishing Services





# Papers by Session

## TuD1: Signal Processing in Mid-IR and Millimeter-Wave Range

---

- Mid-IR Nonlinear Integrated Photonics: Physics and Devices  
*Richard M. Osgood, Xiaoping Liu (Columbia University, USA), Spyros Lavdas (University College London, United Kingdom), Jerry Dadap, Brian Souhan, Jeff Driscoll, Rich Grote (Columbia University, USA), Bart Kuyken, Gunther Roelkens, Nicolae C. Panoiu, Roel Baets (Ghent University, Belgium), and William M.J. Green (IBM Thomas J. Watson Research Center, USA)*
- High-Speed Signal Processing Utilizing Interaction Between Optical and Millimeter Waves  
*Hiroshi Murata and Yasuyuki Okamura (Osaka University, Japan)*

