

# HETEROGENEOUS INTEGRATION OF III-V SEMICONDUCTOR LIGHT SOURCES ON LOW-REFRACTIVE-INDEX PLATFORMS

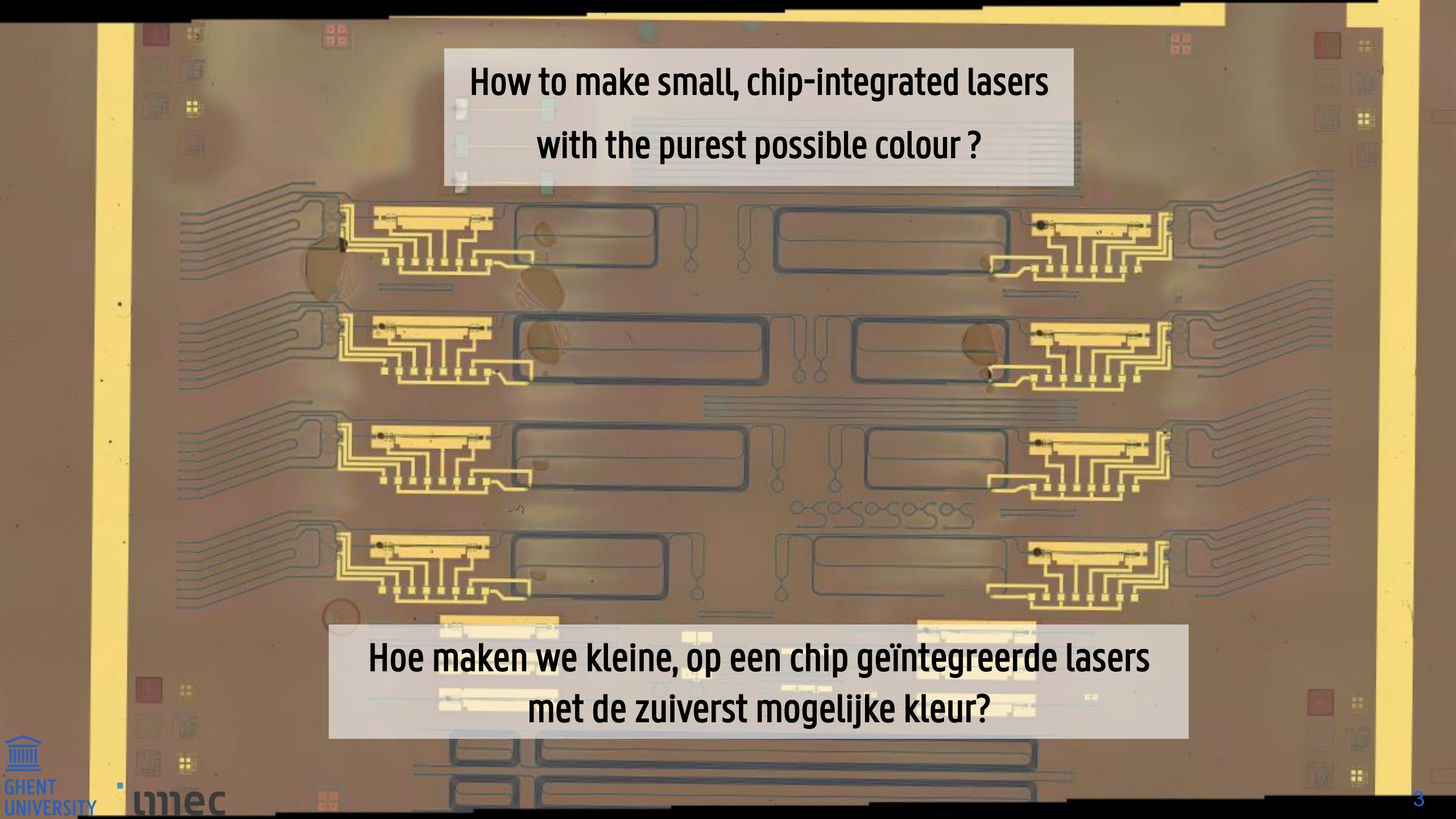
Camiel Op de Beeck

Promotors: prof. dr. ir. Bart Kuyken, prof. dr. ir. Gunther Roelkens

# HETEROGENE INTEGRATIE VAN III-V-HALFGELEIDERLICHTBRONNEN OP PLATFORMEN MET LAGE BREKINGSINDEX

Camiel Op de Beeck

Promotoren: prof. dr. ir. Bart Kuyken, prof. dr. ir. Gunther Roelkens

A top-down micrograph of a silicon chip. The chip is a brownish-gold color and features a grid of laser structures. Each structure consists of a central rectangular area with a blueish-grey border, connected to a network of yellowish-gold lines. The structures are arranged in a regular pattern across the chip. The background is a dark brown color.

**How to make small, chip-integrated lasers  
with the purest possible colour ?**

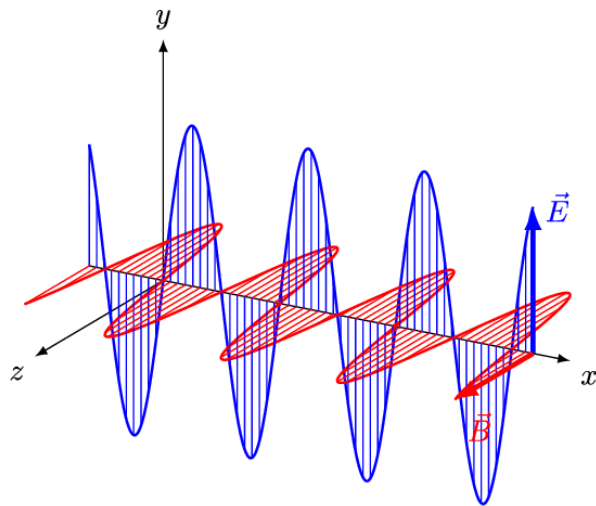
**Hoe maken we kleine, op een chip geïntegreerde lasers  
met de zuiverst mogelijke kleur?**

# OVERVIEW

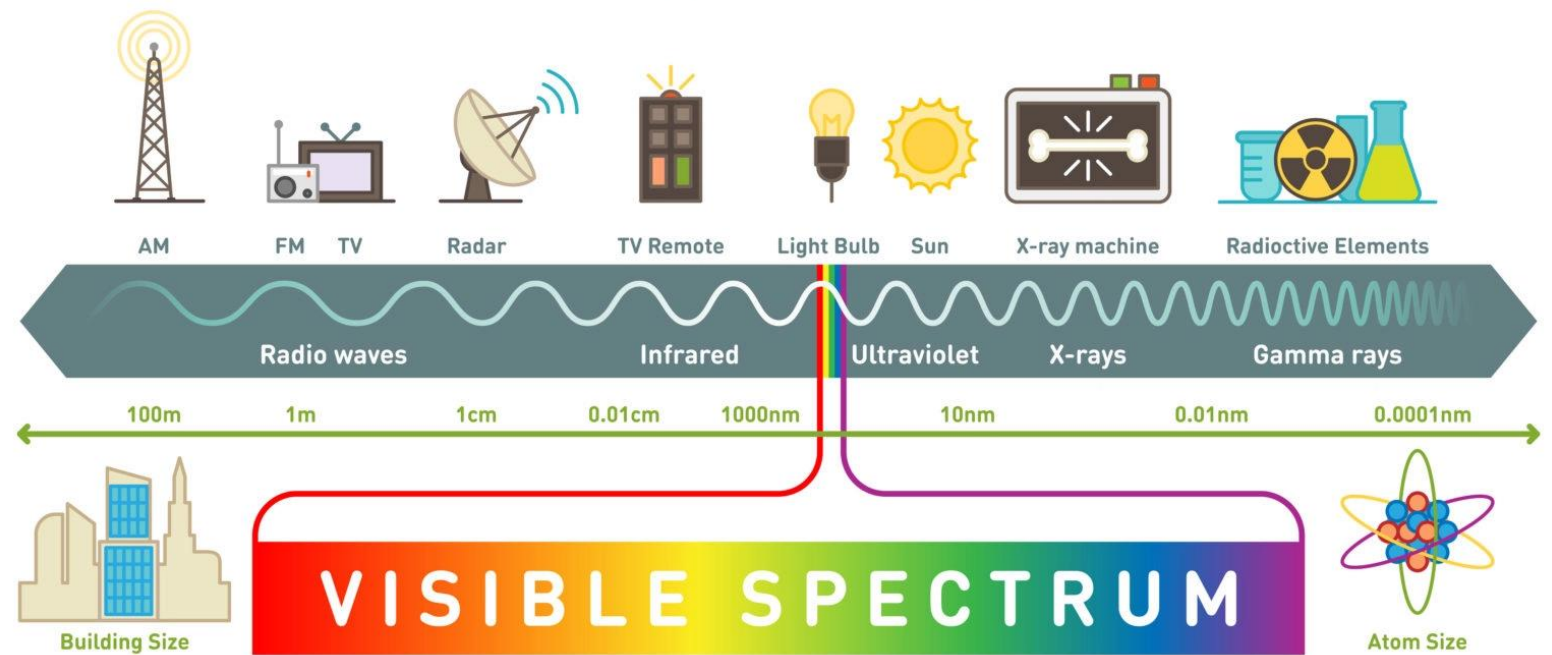
- Light – a general introduction
- History of the laser
- Integrated photonics
- External cavity lasers on  $\text{Si}_3\text{N}_4$
- Heterogeneous integration
- Results

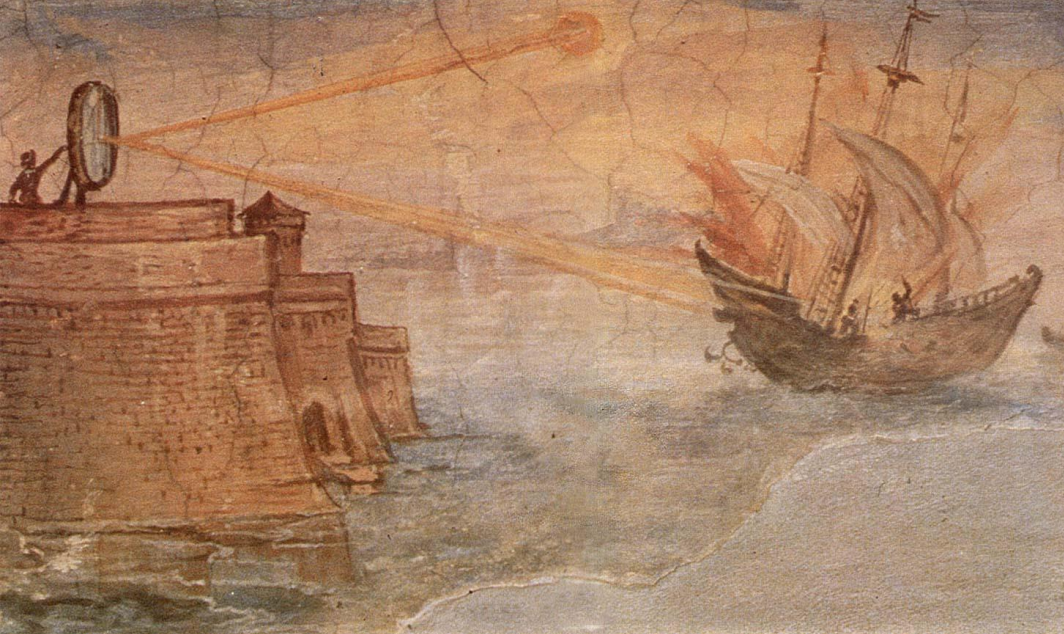
# THE ELECTROMAGNETIC SPECTRUM

- Light is an electromagnetic wave → oscillating electric and magnetic fields
- Spans from below microwaves to beyond UV light and X-rays
- Travels 30 cm/ns in vacuum



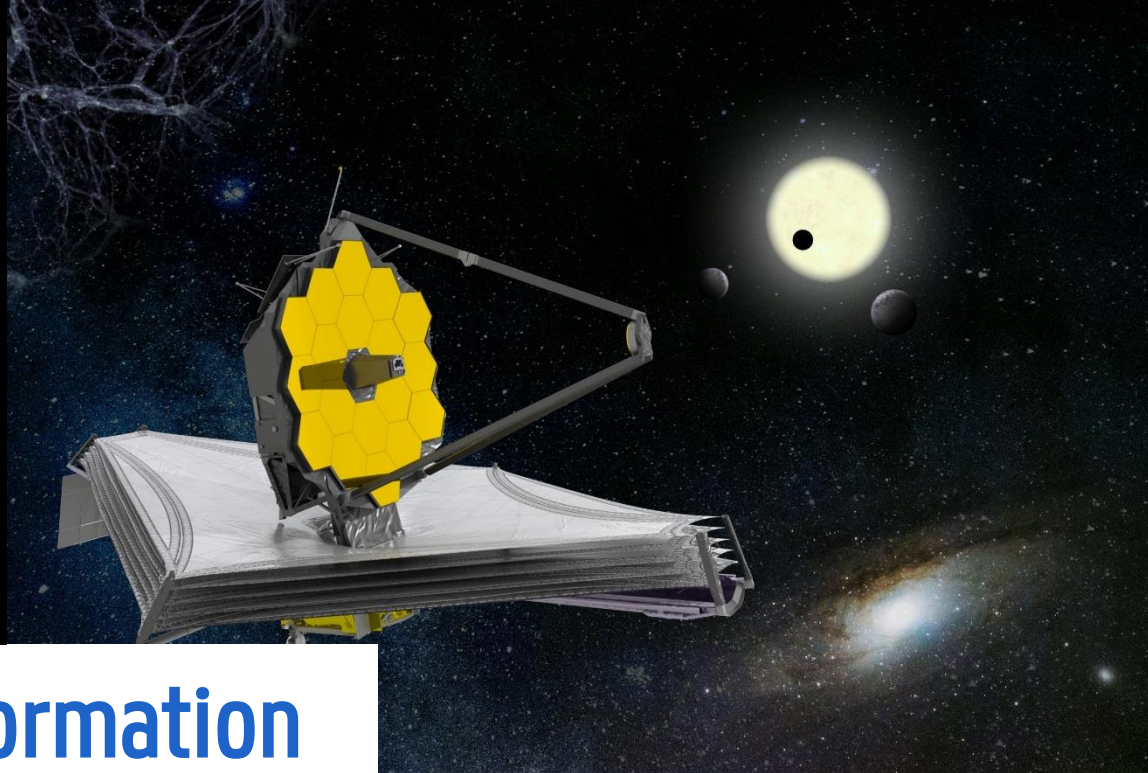
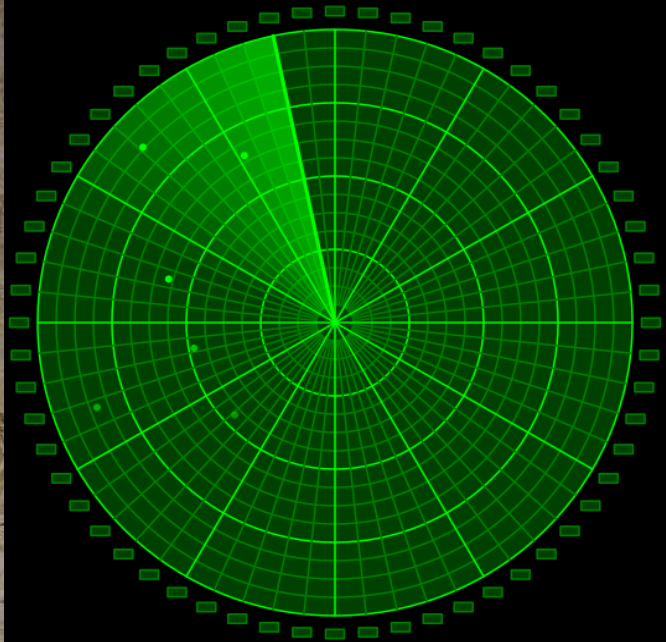
## Electromagnetic Spectrum





**Light carries energy**



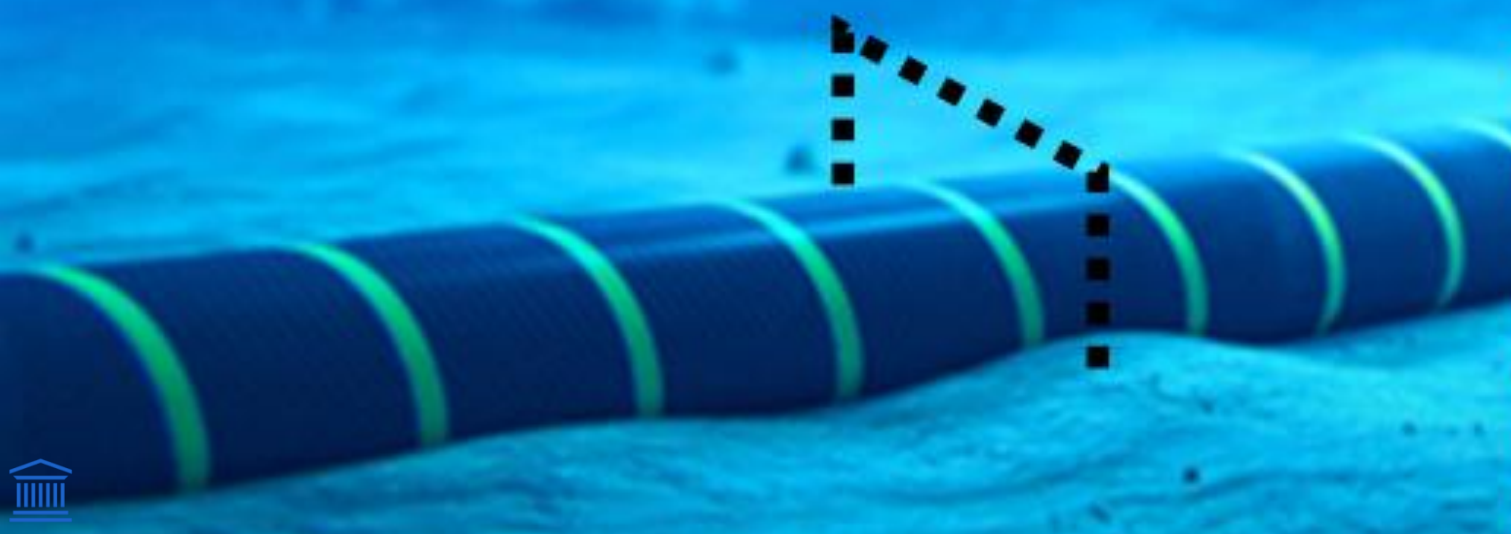
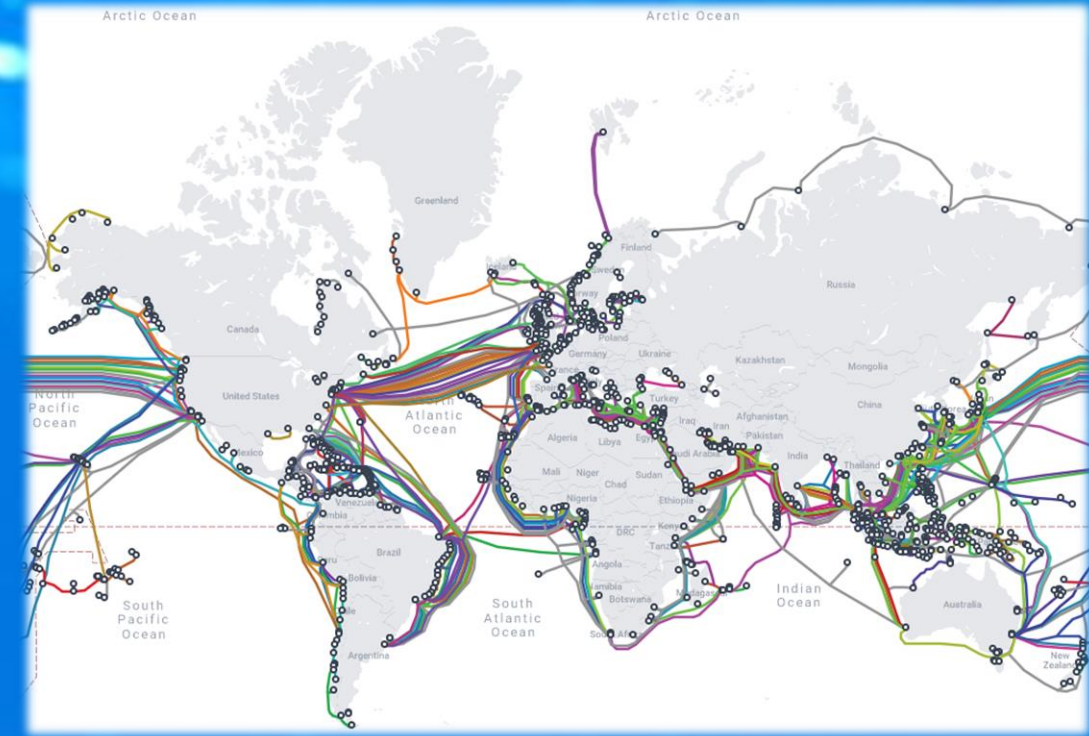


Light carries information



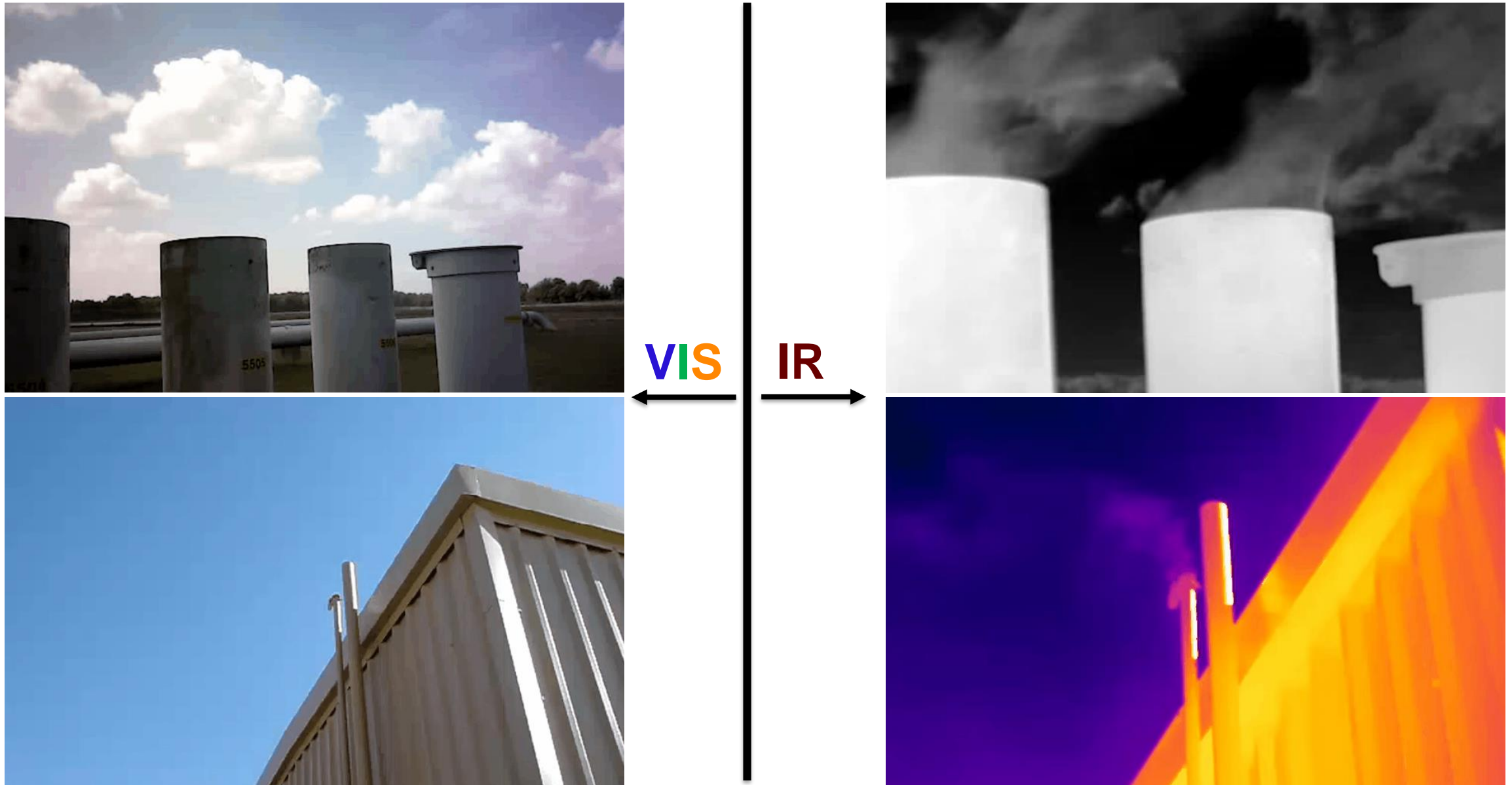
# LIGHT CARRIES INFORMATION

The world wide web is interconnected by submarine optical fiber cables.





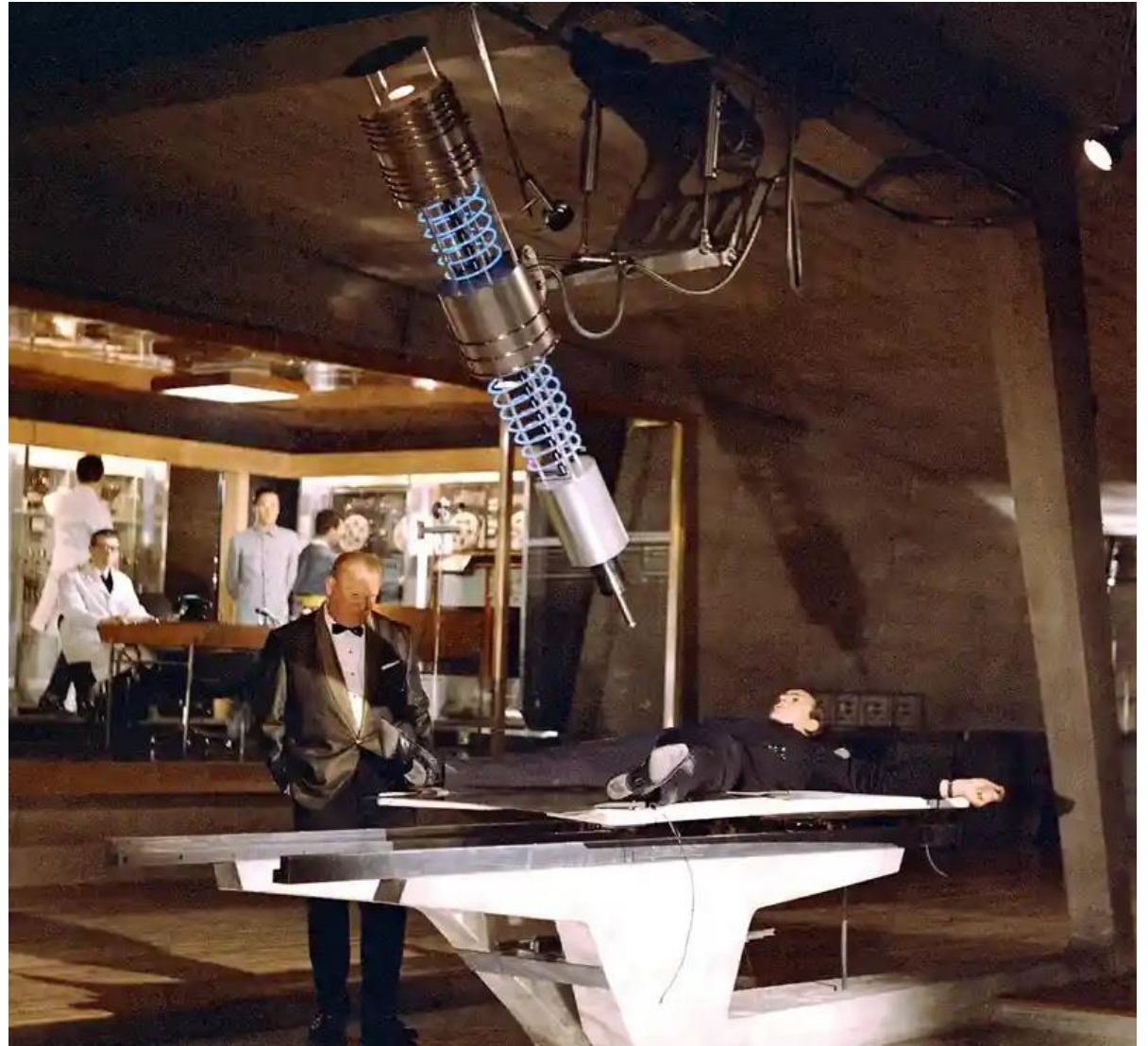
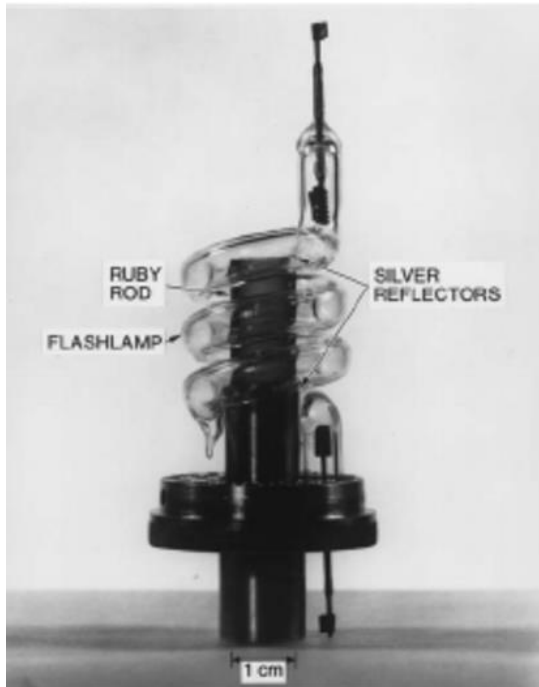
# OPTICAL SENSING – LOOKING AT DIFFERENT COLOURS



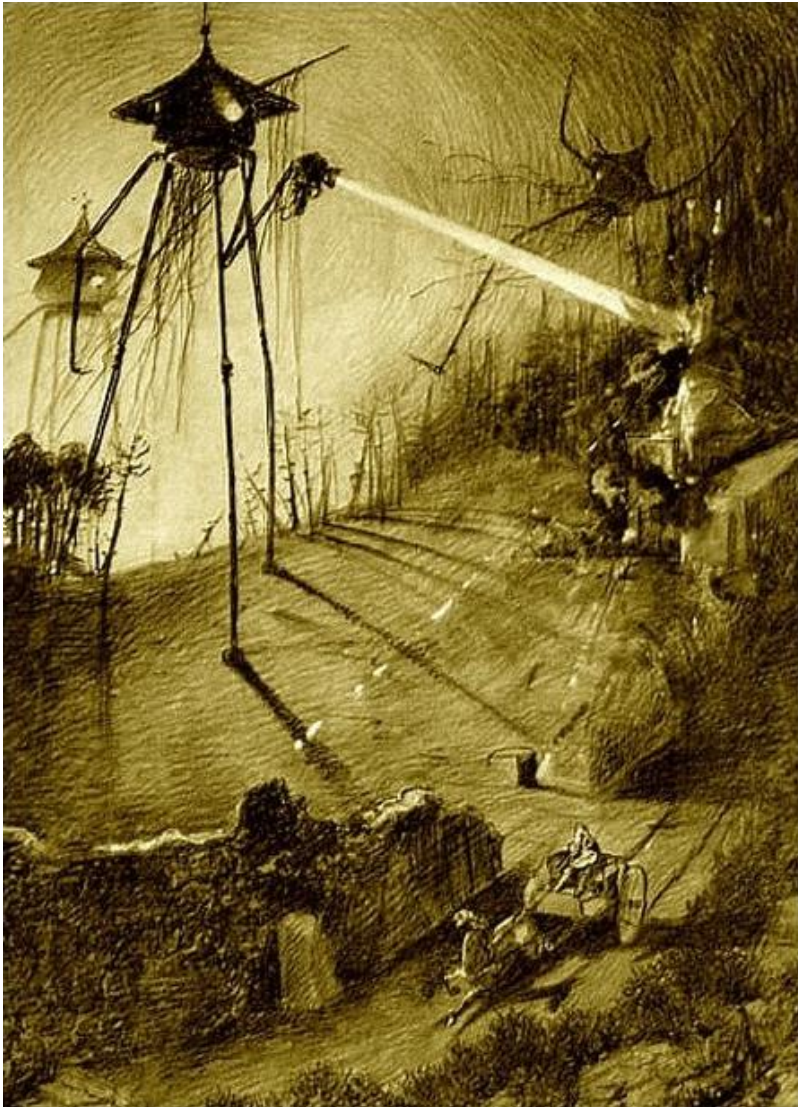
# LASERS, OR DEATH RAYS

“Industrial laser cutter”  
threatening James Bond, 1964 →

The original first laser, 1960



# LASERS, OR DEATH RAYS



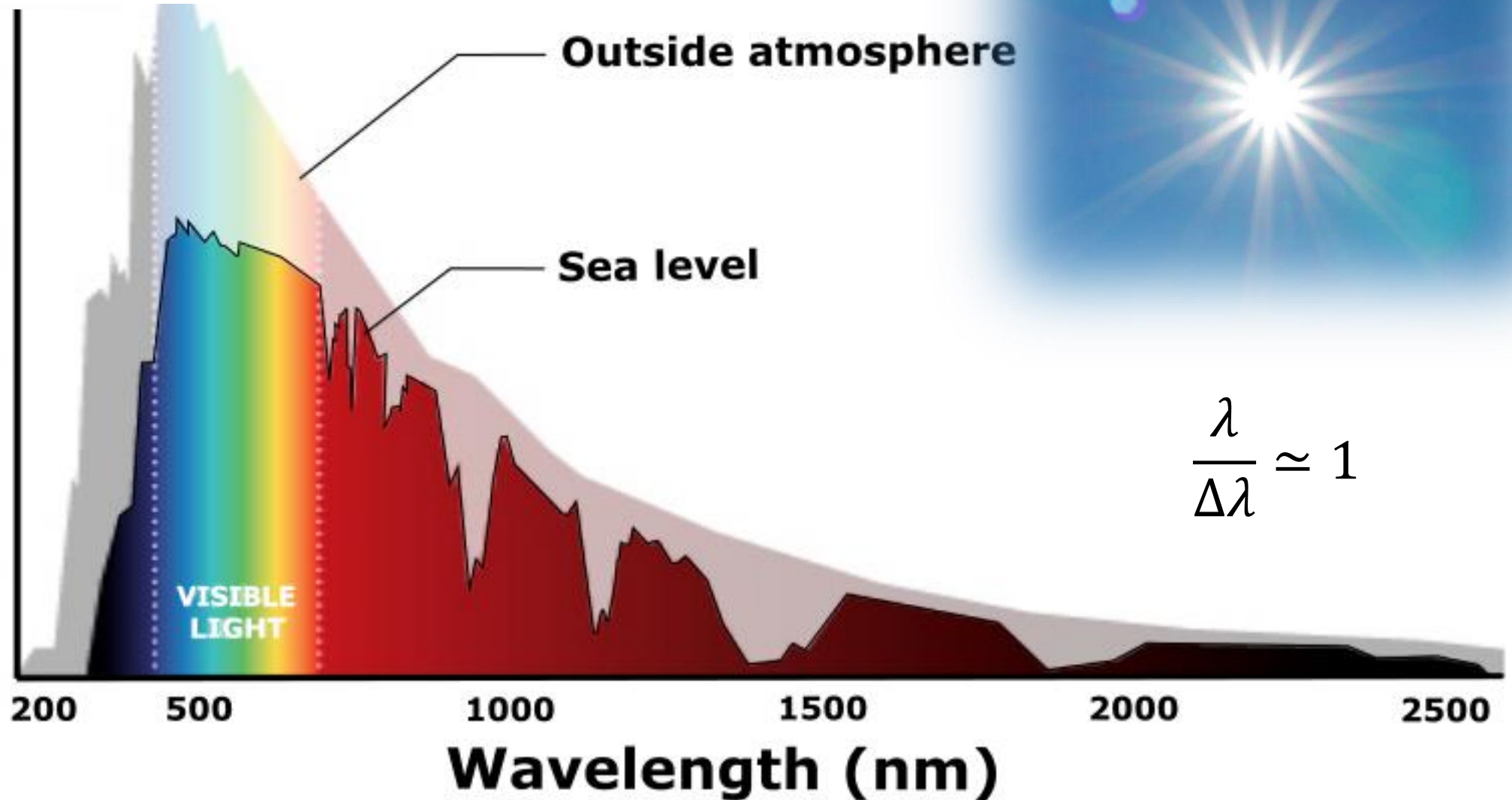
← 1906 illustration of the novel  
*"The war of the worlds"* by H.G. Wells



↑ Sensationalist headline in *"Independent Star-news"*,  
October 2<sup>nd</sup>, 1960

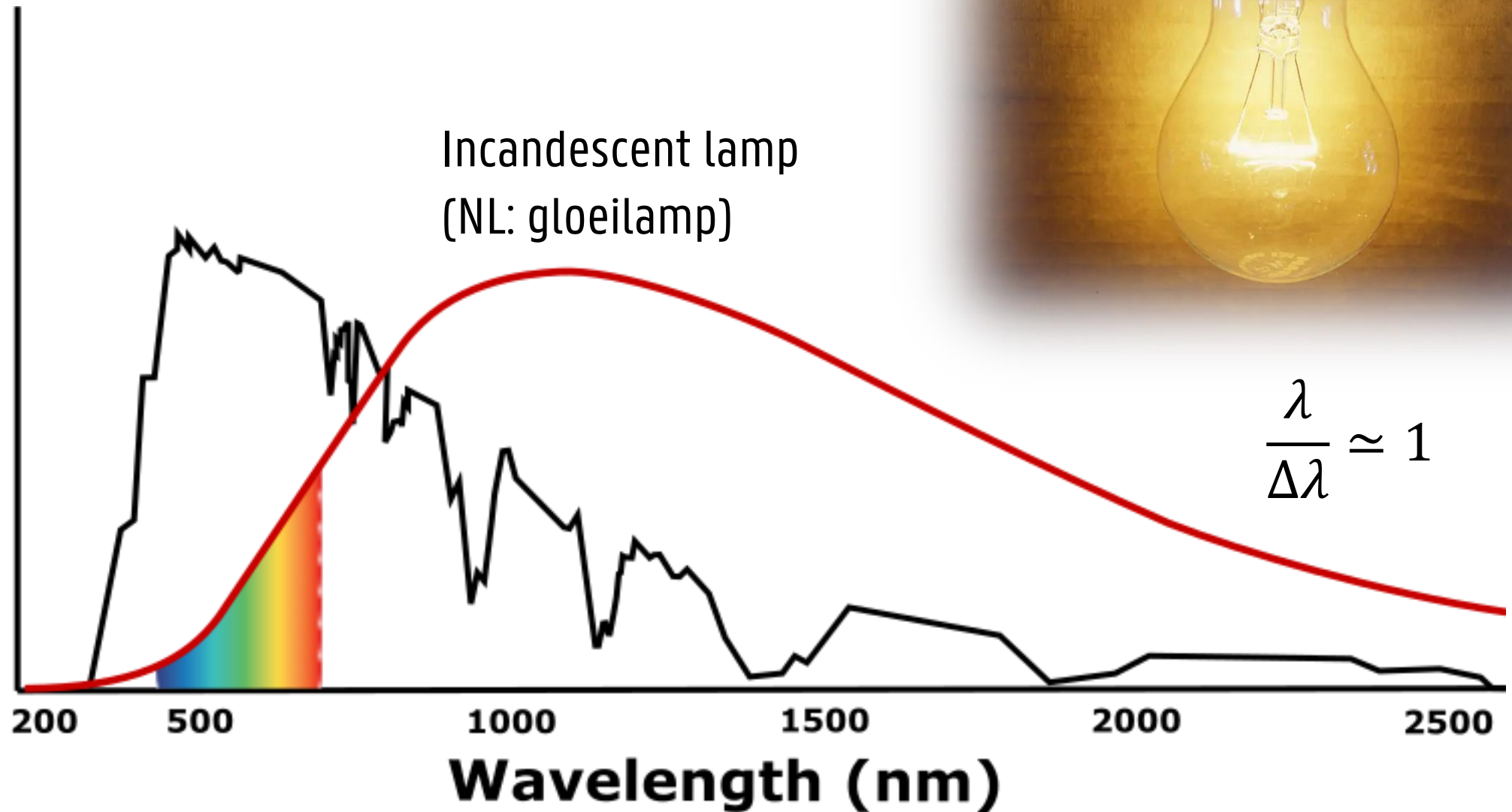
# LASER LIGHT FUNDAMENTALS

## Spectral distribution



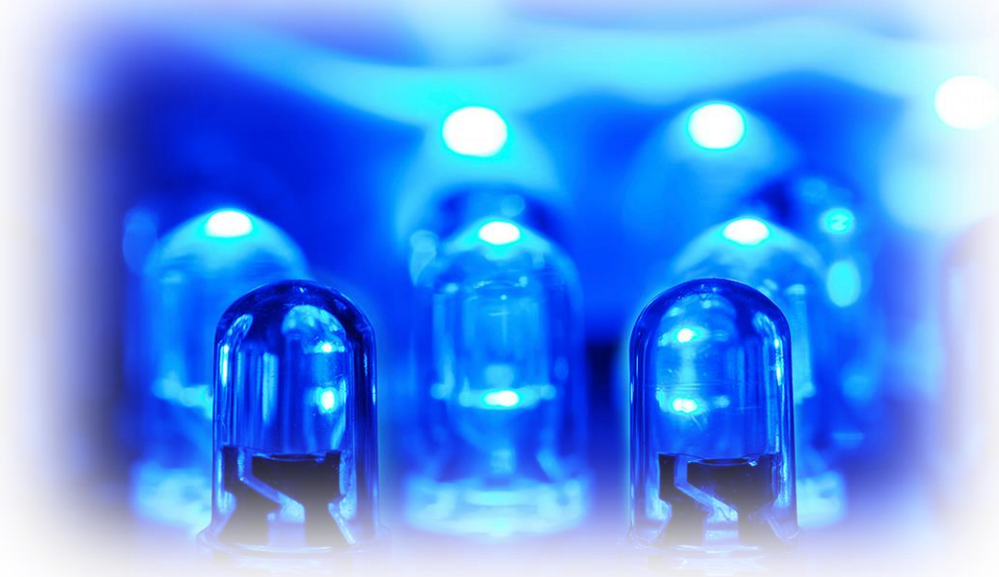
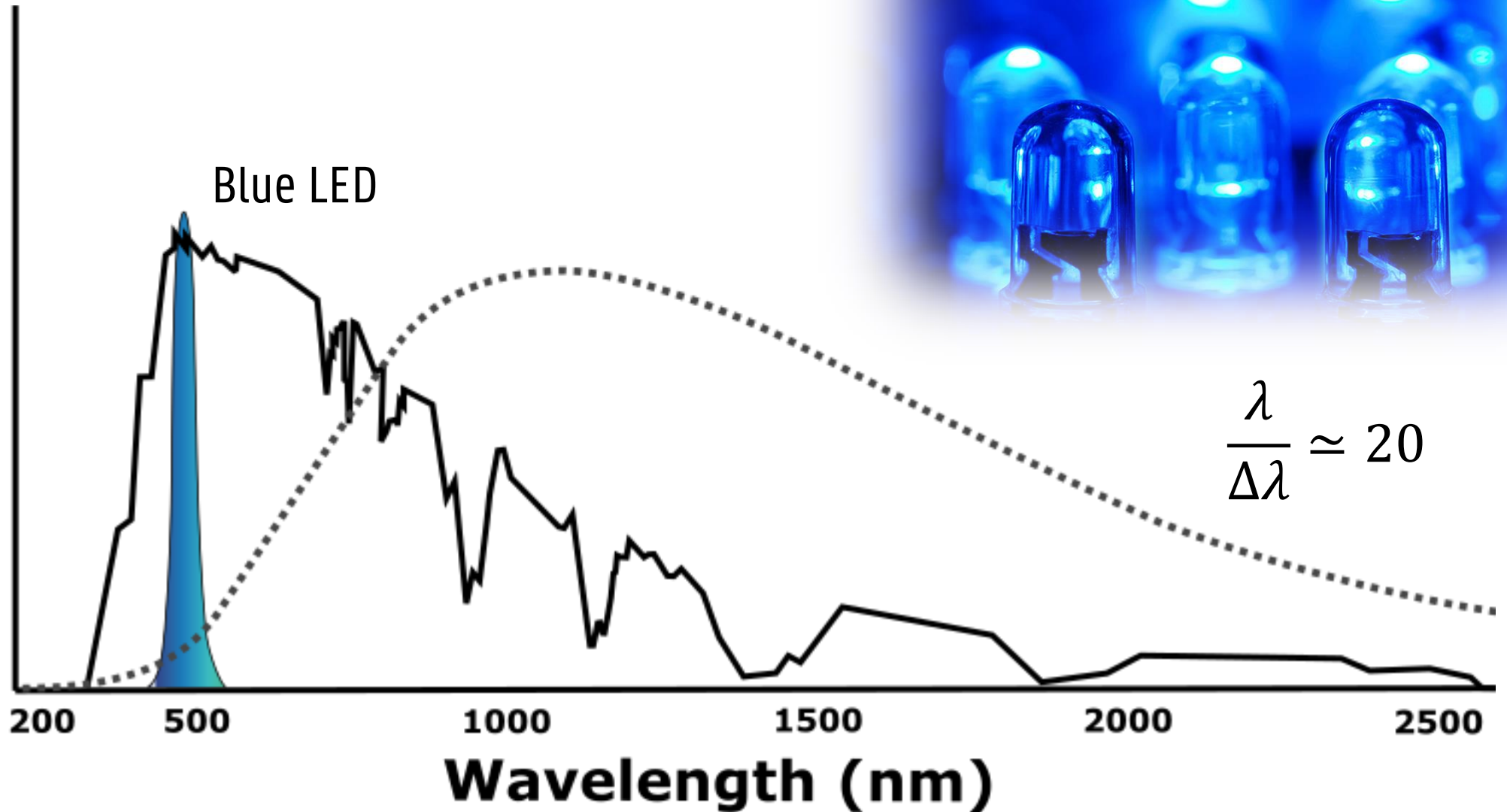
# LASER LIGHT FUNDAMENTALS

## Spectral distribution



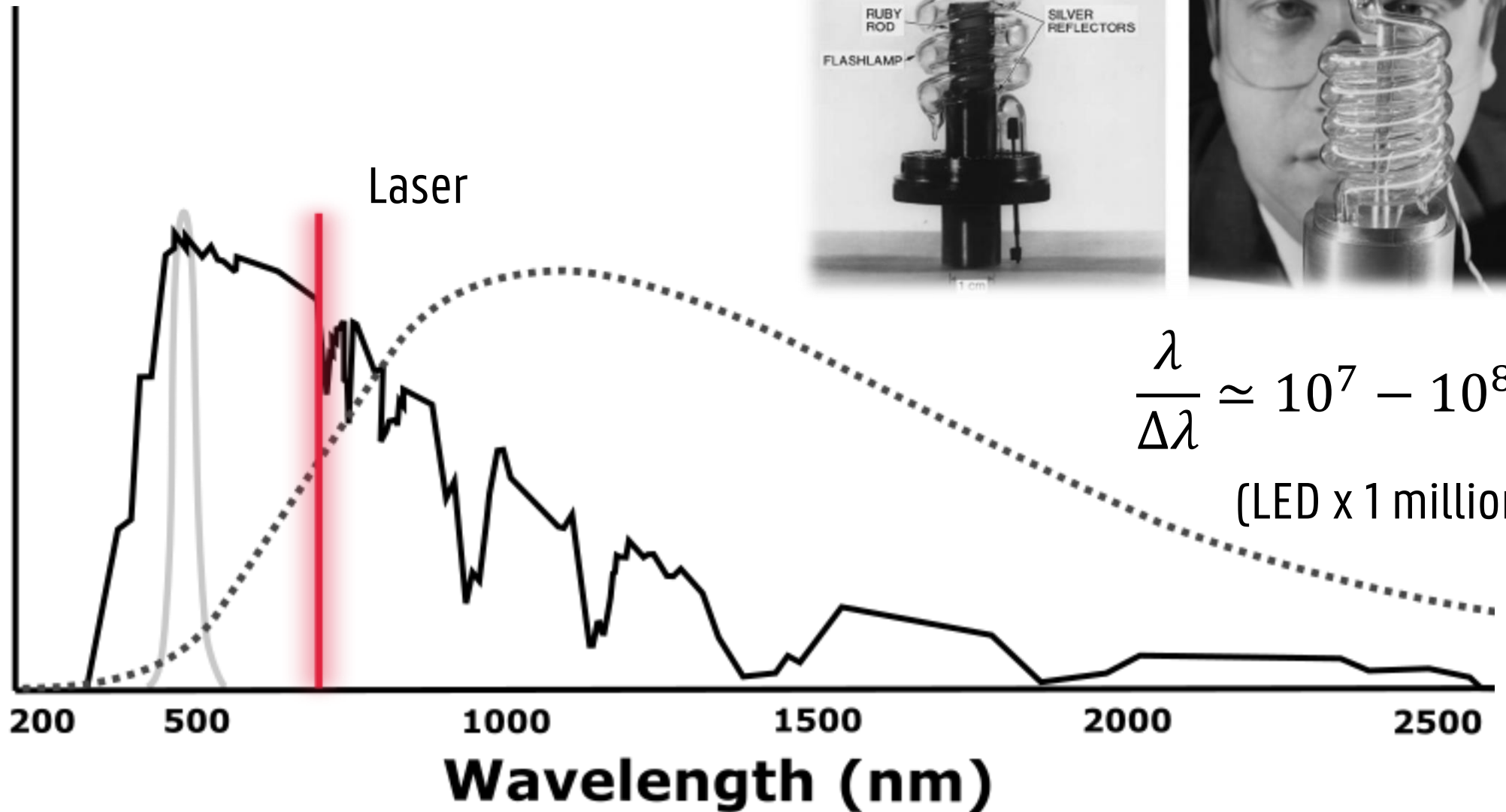
# LASER LIGHT FUNDAMENTALS

## Spectral distribution



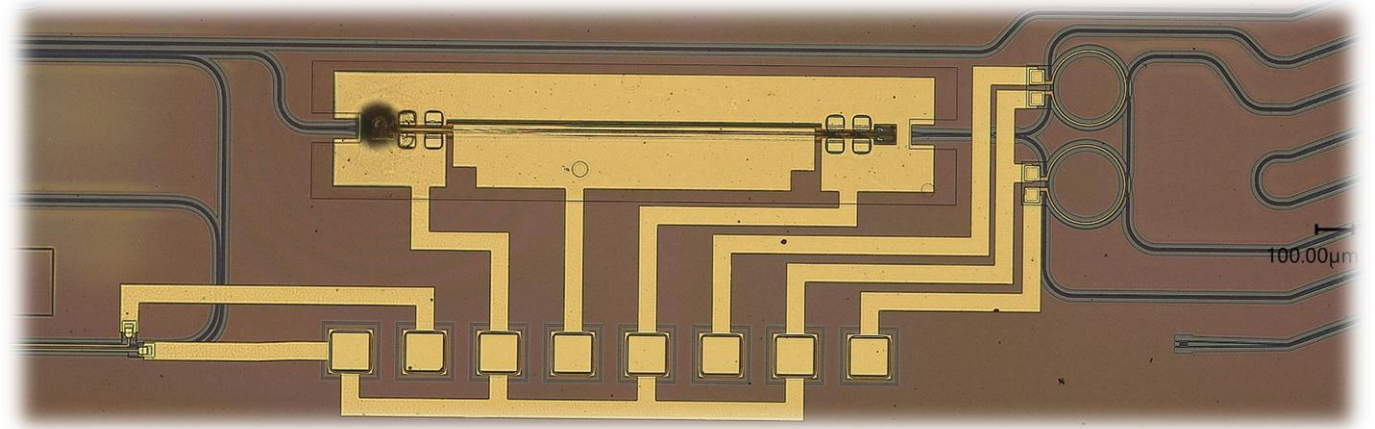
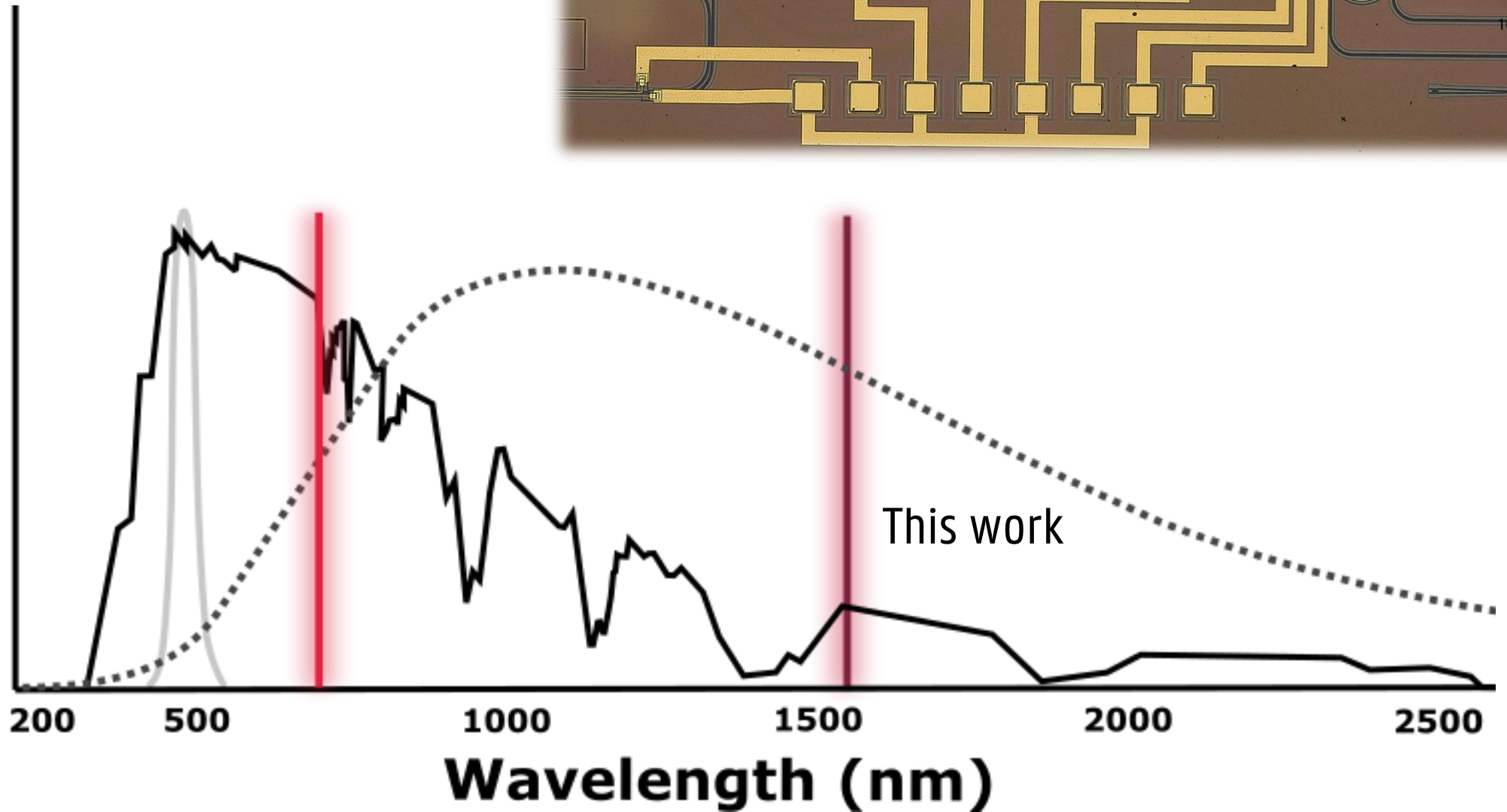
# LASER LIGHT FUNDAMENTALS

## Spectral distribution



# LASER LIGHT FUNDAMENTALS

## Spectral distribution





# LESSON 1: HOW DO LASERS WORK

Fluorescent substances in our lives:

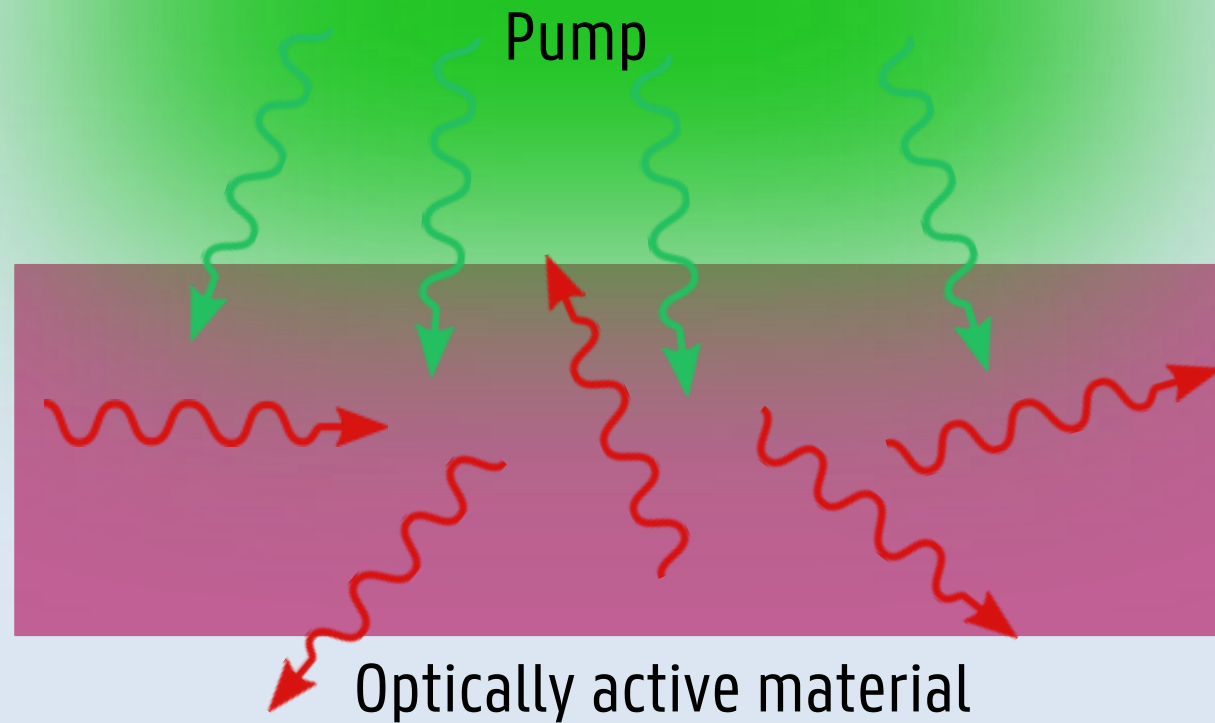


Tonic (under UV light)

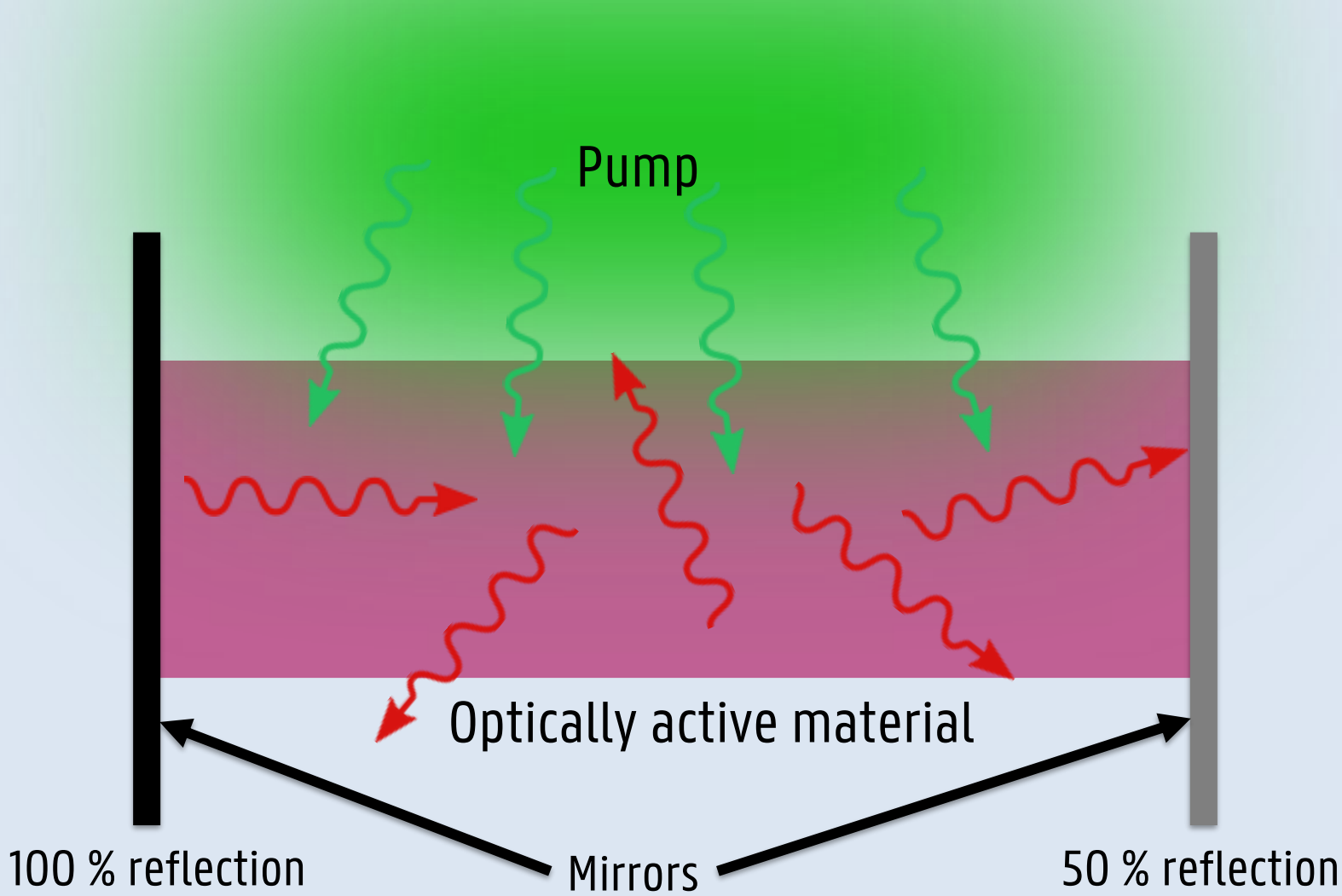


Olive oil (under green light)

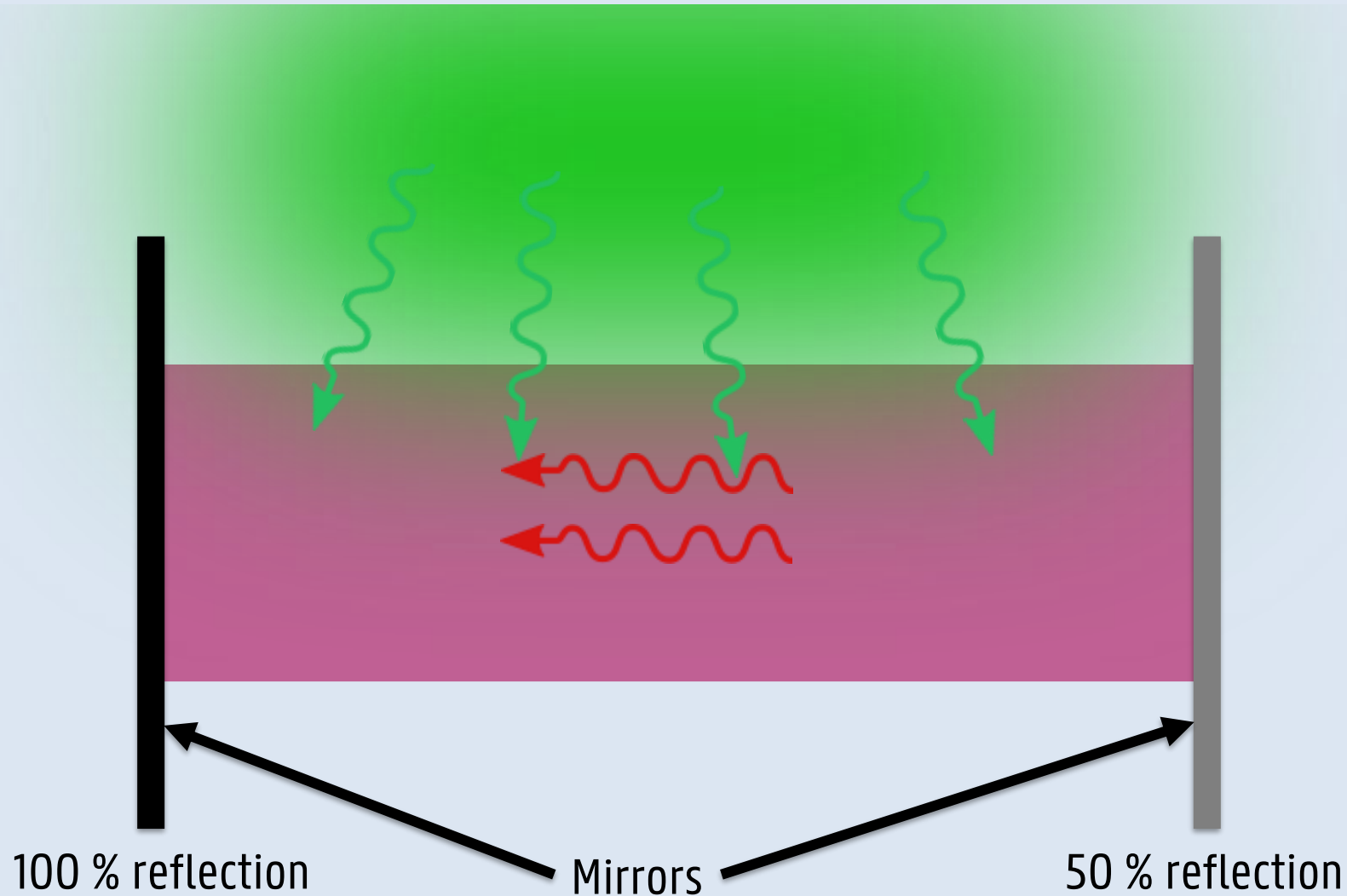
# LESSON 1: HOW DO LASERS WORK



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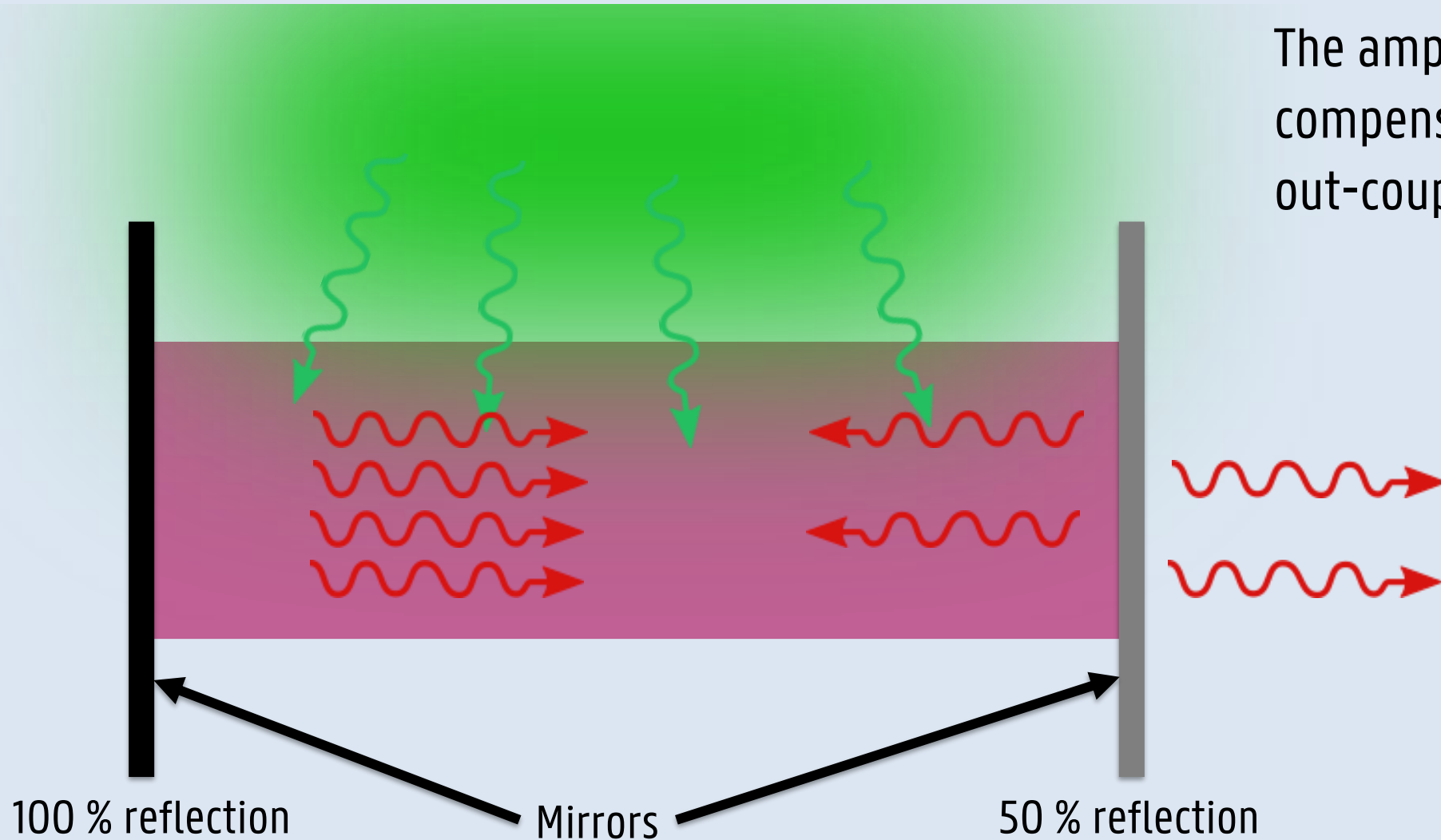


# LESSON 1: HOW DO LASERS WORK



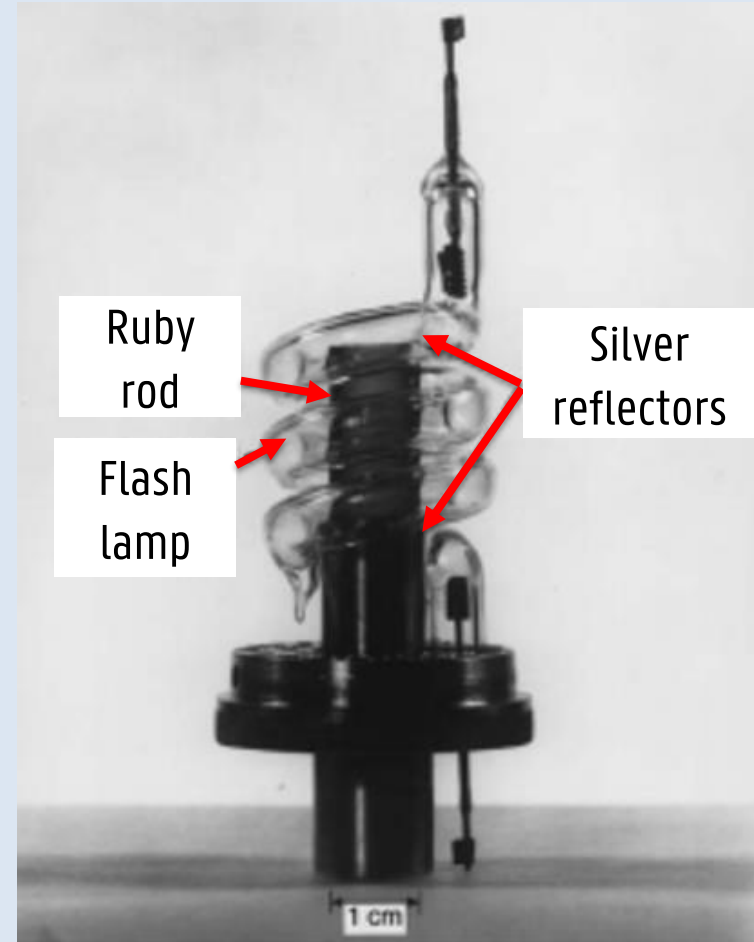
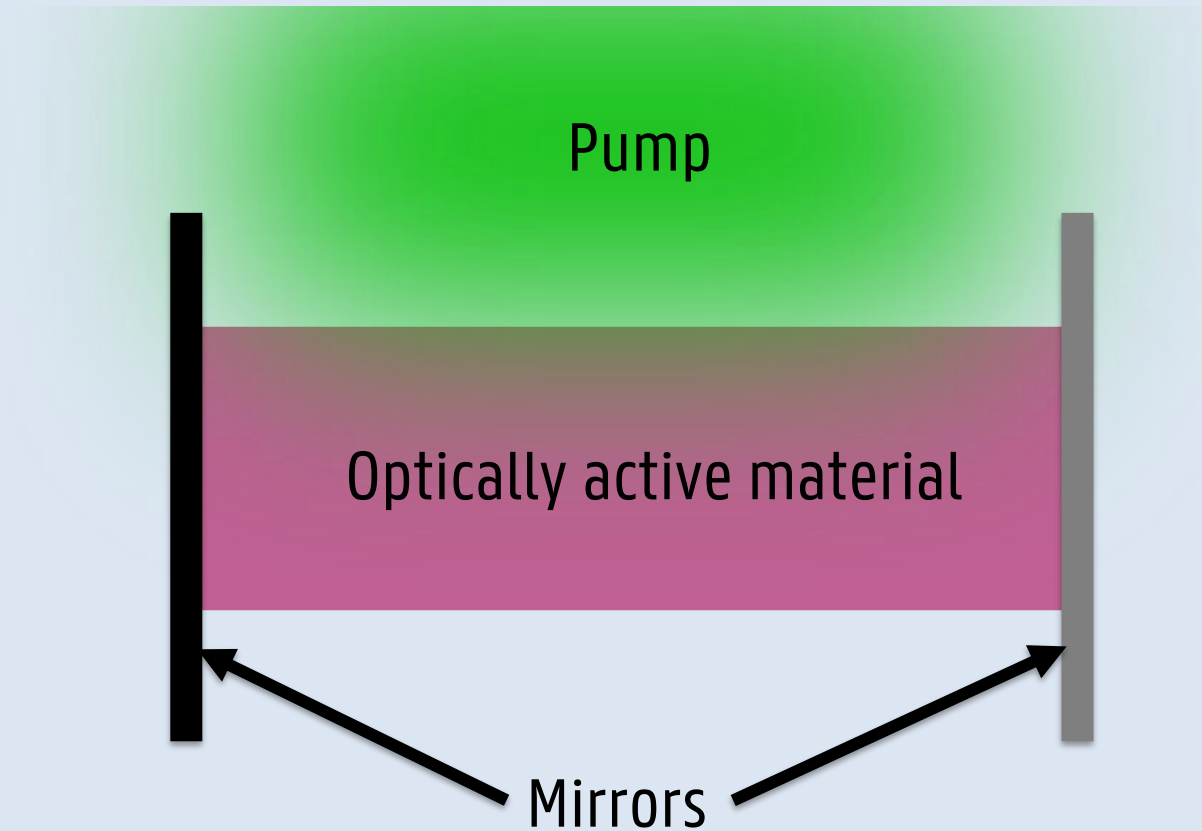
Red photons become **amplified** by the pump light.  
= **stimulated emission**

# LESSON 1: HOW DO LASERS WORK



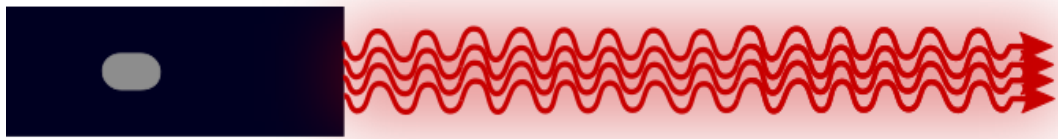
The amplification compensates for the out-coupled light.

# LESSON 1: HOW DO LASERS WORK



# LASER LIGHT FUNDAMENTALS

- High directionality (narrow beam width)
- High intensity
- Coherence

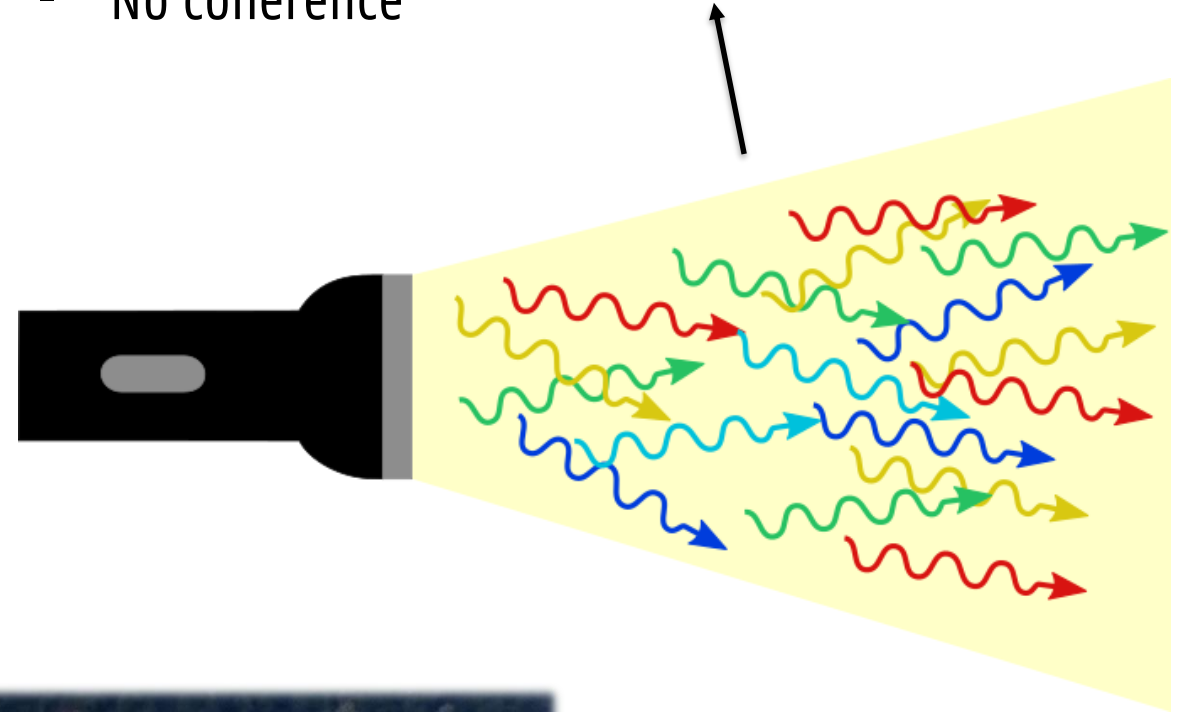


Coherent laser light

- Nearly monochromatic (one colour)
- All waves in phase

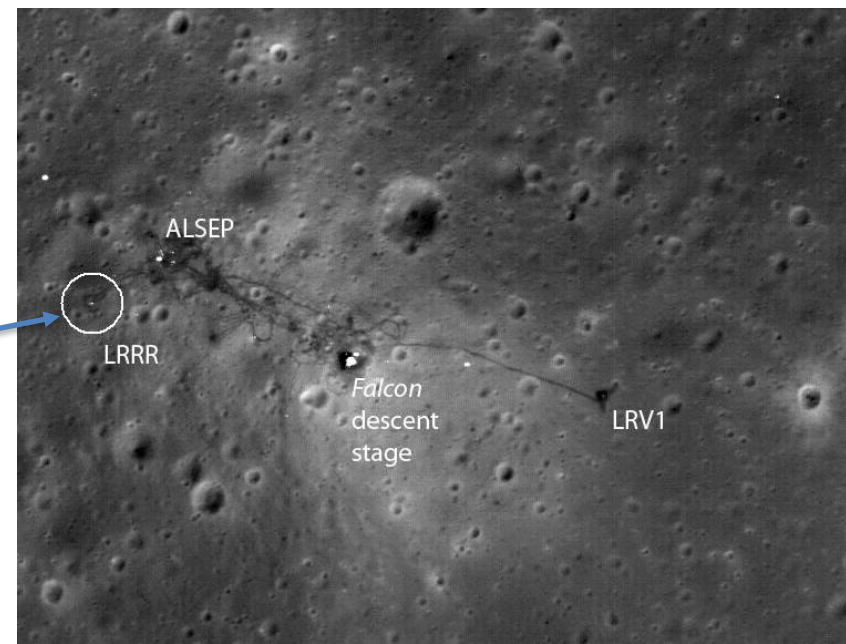
Incoherent light source (light bulb, TL tube, LED)

- Broadband (multiple colours)
- No coherence



# LASERS AT THE FOREFRONT OF PHYSICS

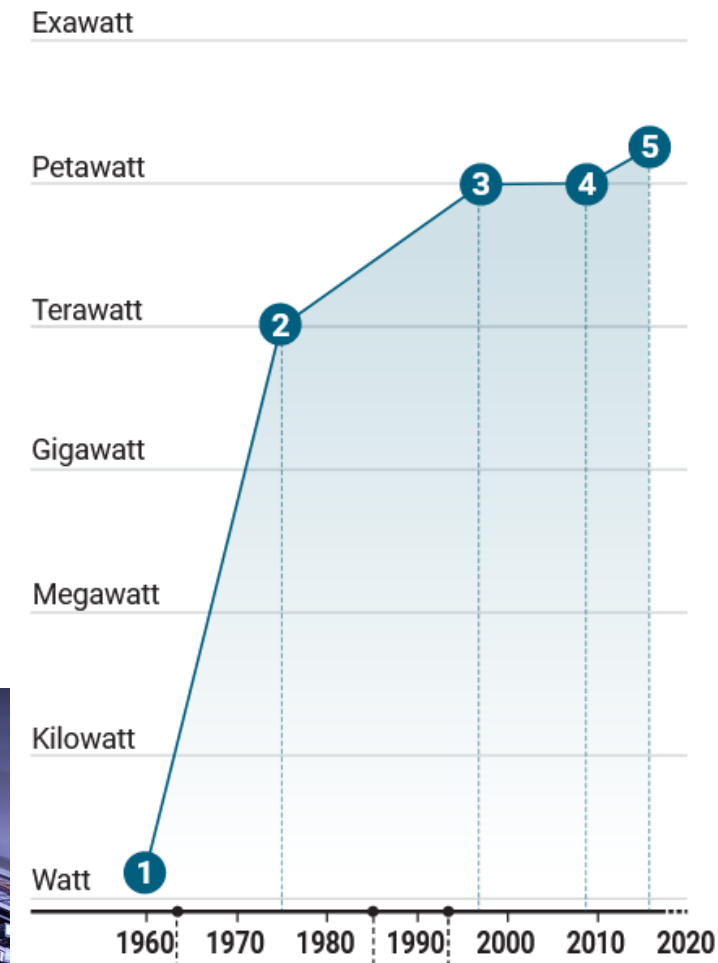
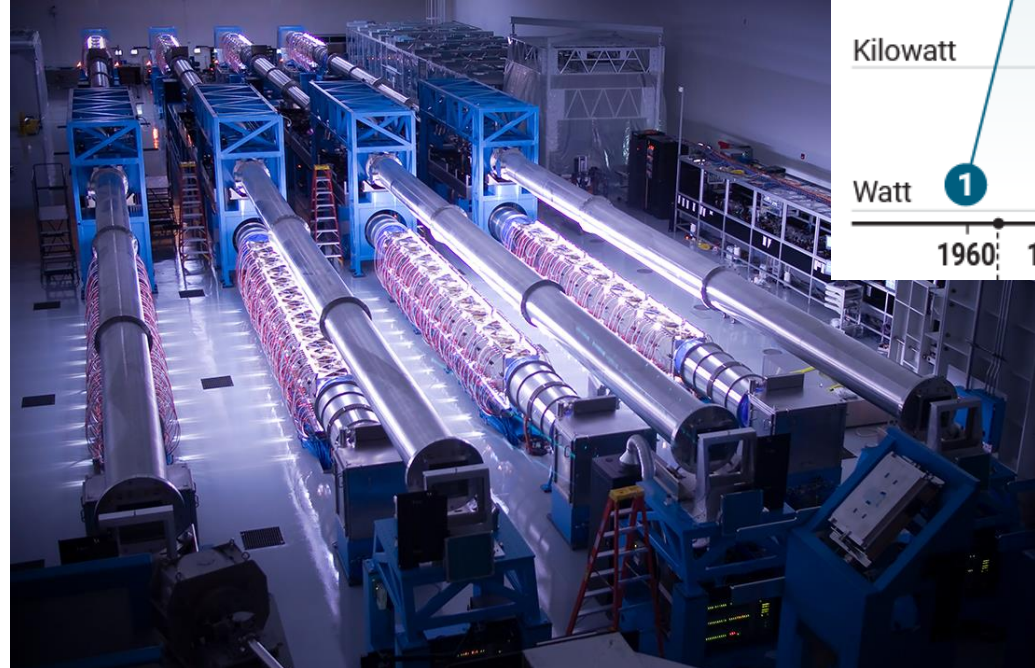
- Lunar ranging via reflectors on the moon  
“Lunar Laser Ranging RetroReflector”
  - Already installed before 1970
  - Ranging precision now better than 1 cm
- 
- Gravitational measurements
  - Relativistic effects





# LASERS AT THE FOREFRONT OF PHYSICS

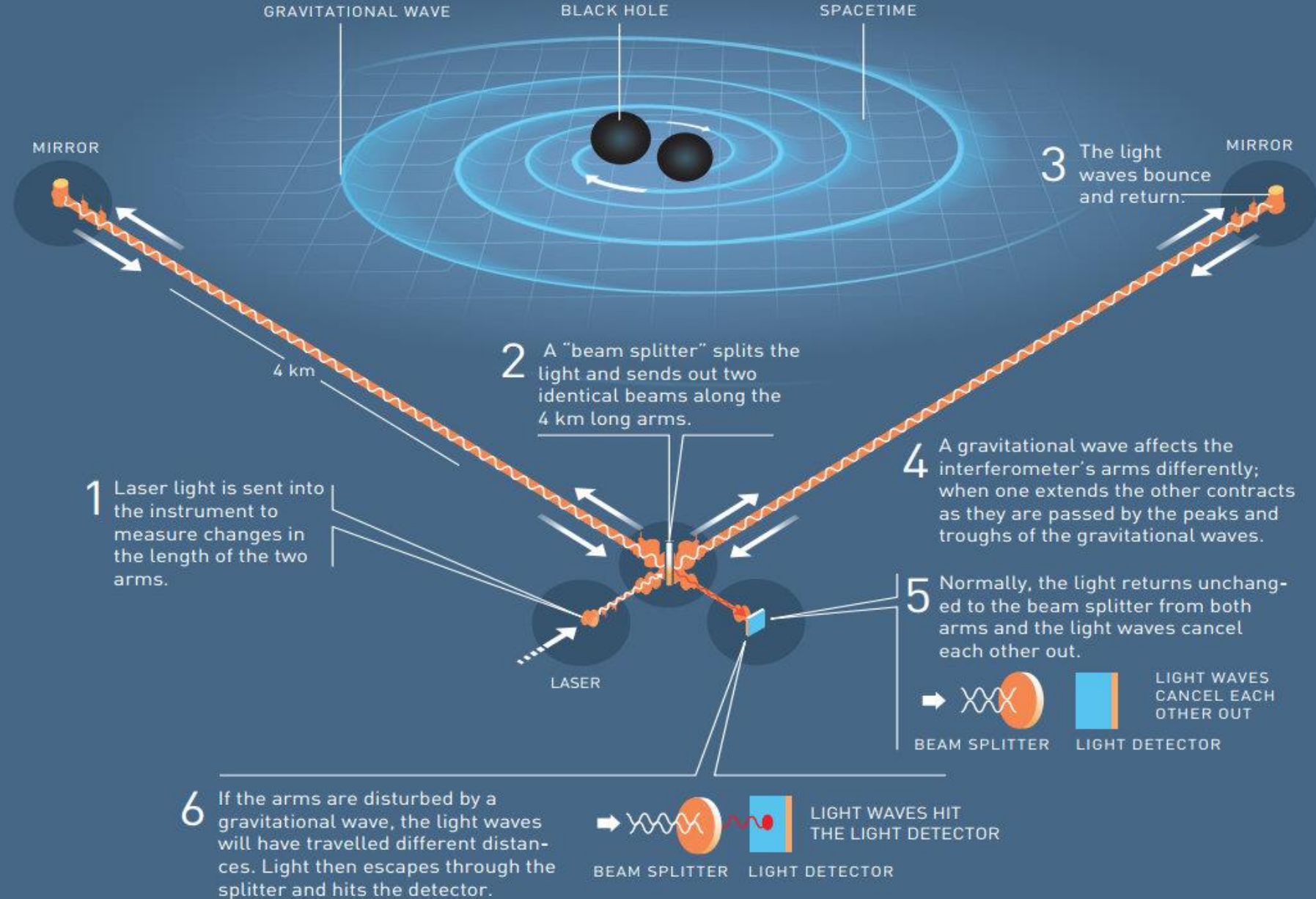
- Ultra-high intensities ( $> 10$  PW) may allow experimental validation of theoretical predictions from quantum mechanics
  - Electron-positron pair formation in vacuum
  - Photon-photon scattering
- Stimulating nuclear transmutation  
→ reduce nuclear waste lifetimes



# LASERS AT THE FOREFRONT OF PHYSICS

LIGO:  
Interferometer for the detection of gravitational waves.

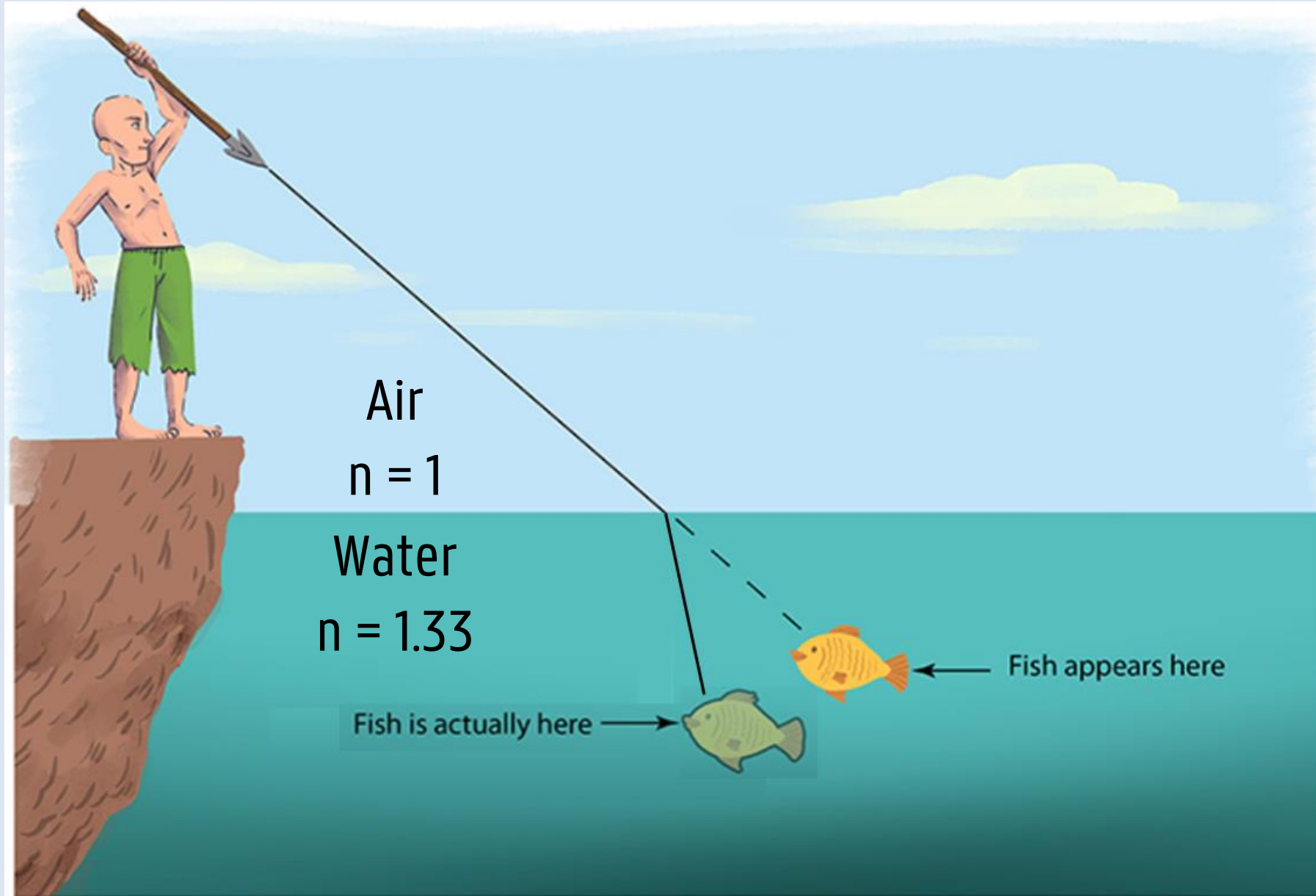
## LIGO – A GIGANTIC INTERFEROMETER



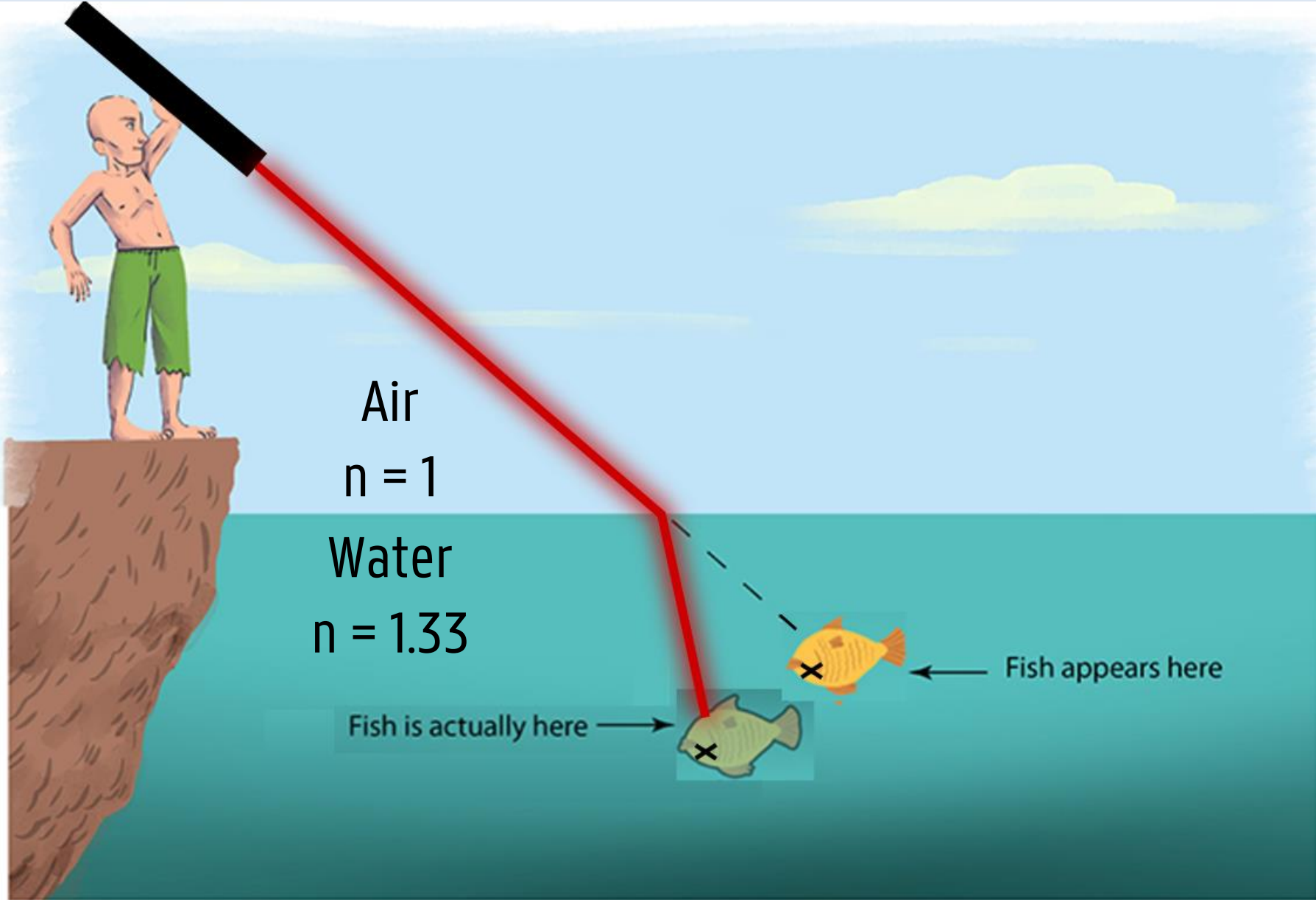
# OVERVIEW

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- History of the laser
- Integrated photonics
- External cavity lasers on  $\text{Si}_3\text{N}_4$
- Heterogeneous integration
- Results

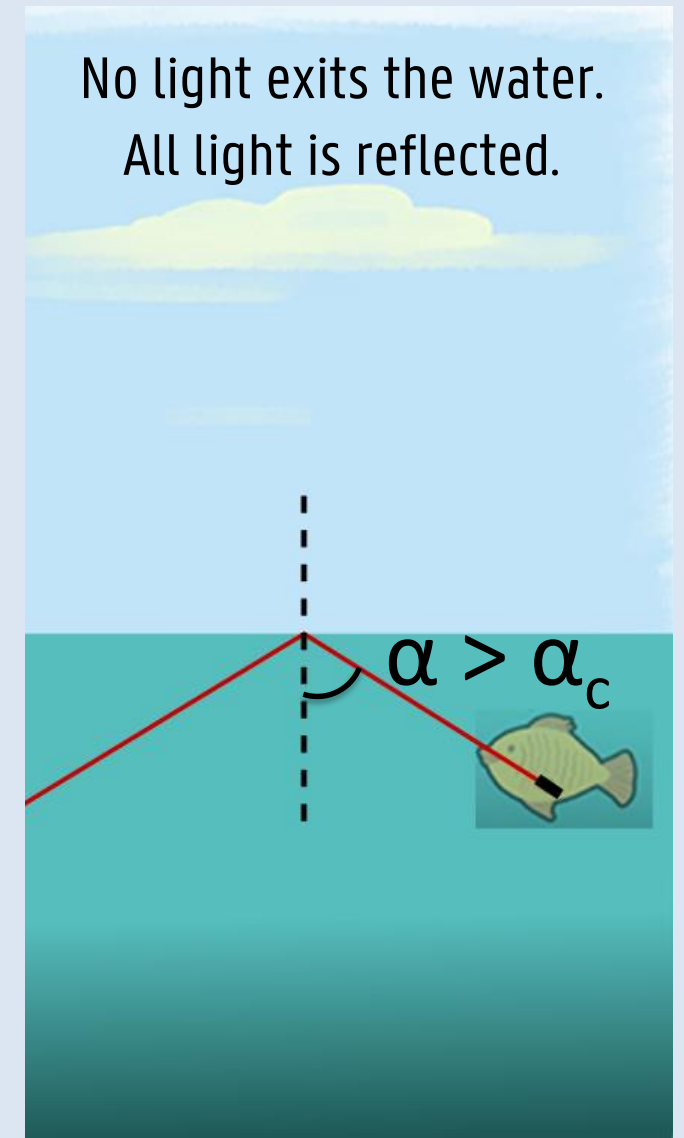
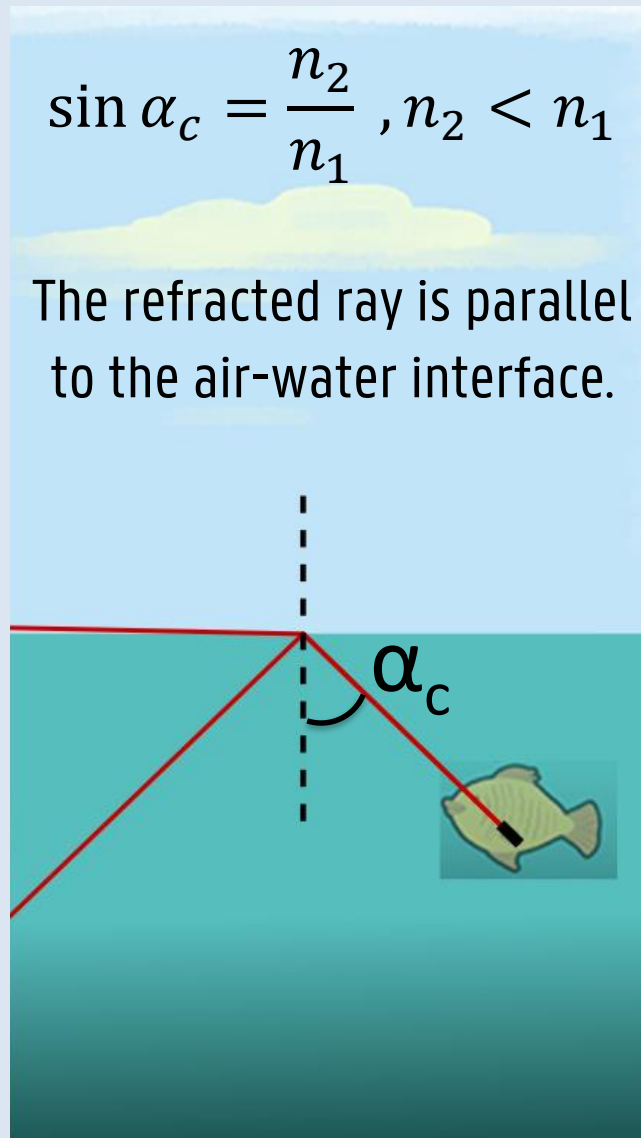
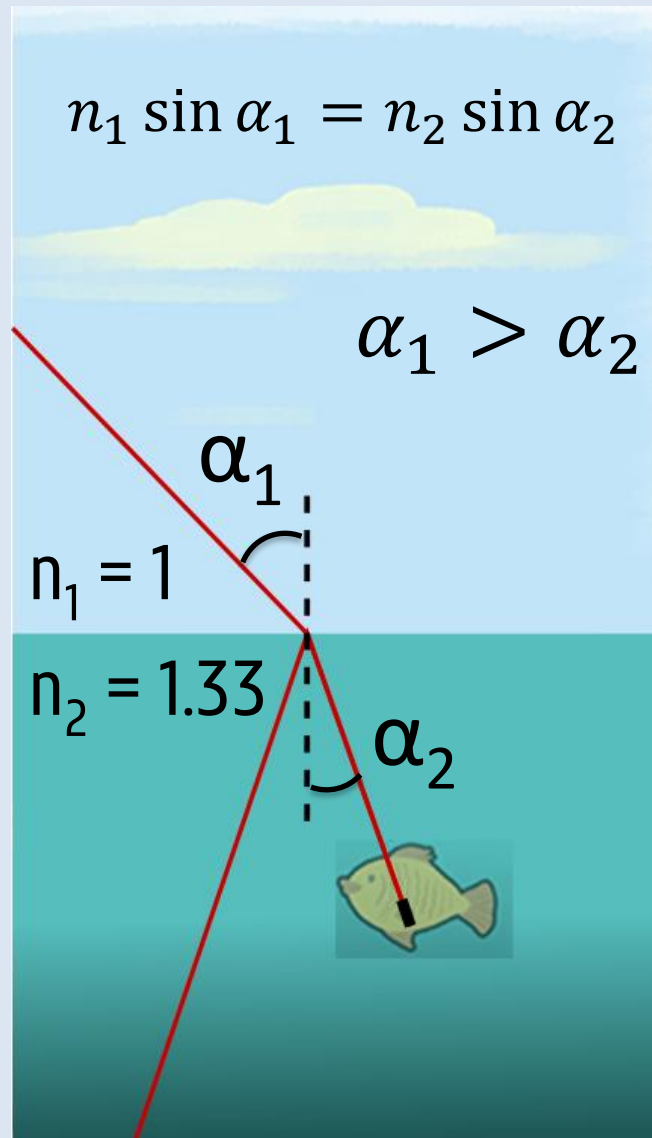
# LIGHT REFRACTION – SPEARFISHING FOR BEGINNERS



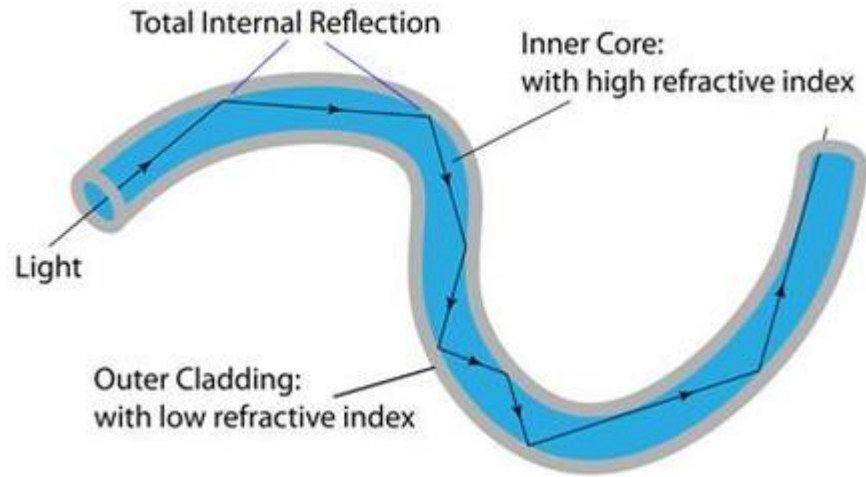
# LIGHT REFRACTION – SPEARFISHING FOR BEGINNERS



# LIGHT REFRACTION – TOTAL INTERNAL REFLECTION

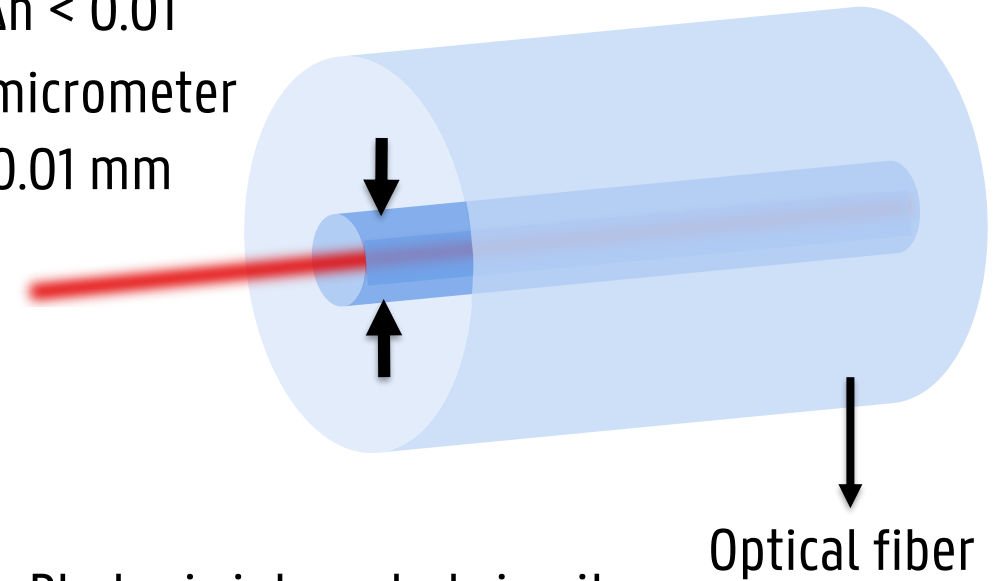


# GUIDING LIGHT

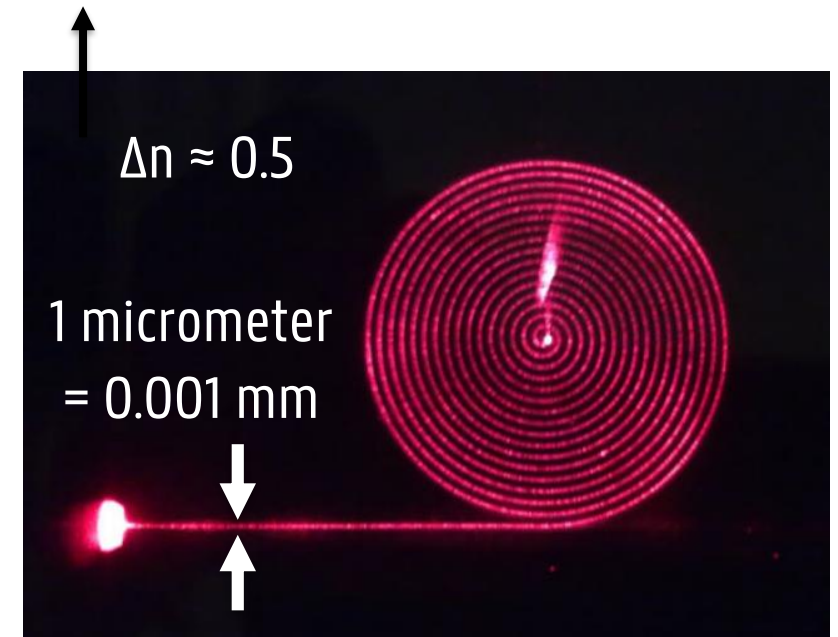


- Light can be guided/confined by materials with a higher refractive index than their surroundings  
→ **waveguides (golfsgeleiders)**
- Higher refractive index contrast  
= stronger confinement, more compact circuits

$\Delta n < 0.01$   
 $\pm 10$  micrometer  
= 0.01 mm



Photonic integrated circuit

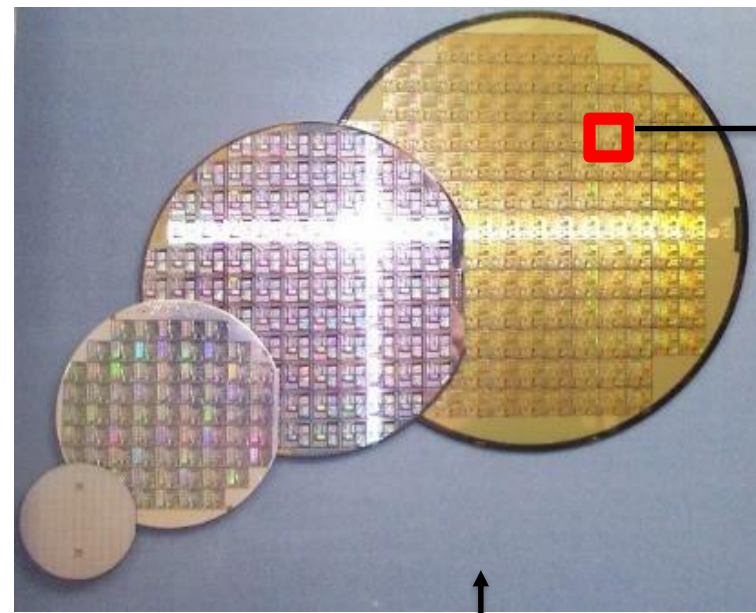


# SEMICONDUCTOR INTEGRATED CIRCUITS - CHIPS

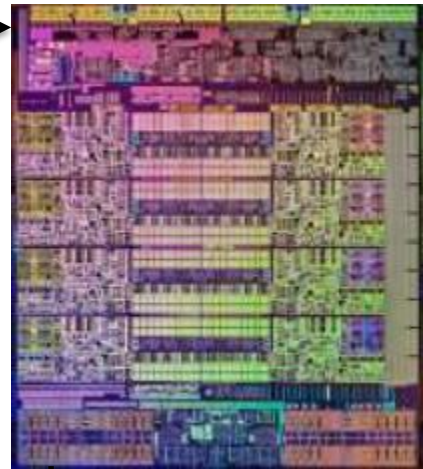
Silicon ingot is sliced into wafers



Integrated circuits are fabricated on wafers



Chips are cut out of a wafer



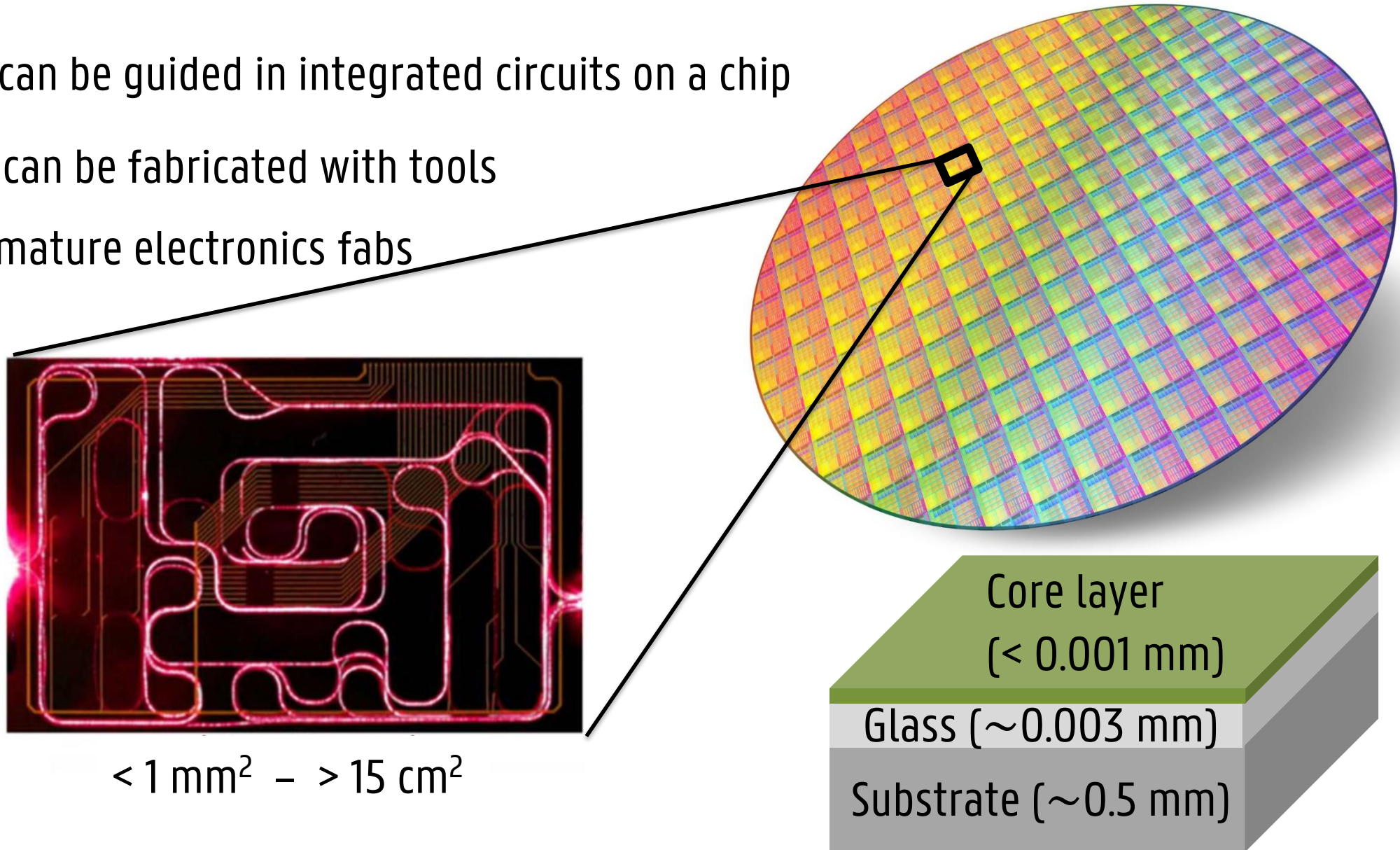
Chip packaging





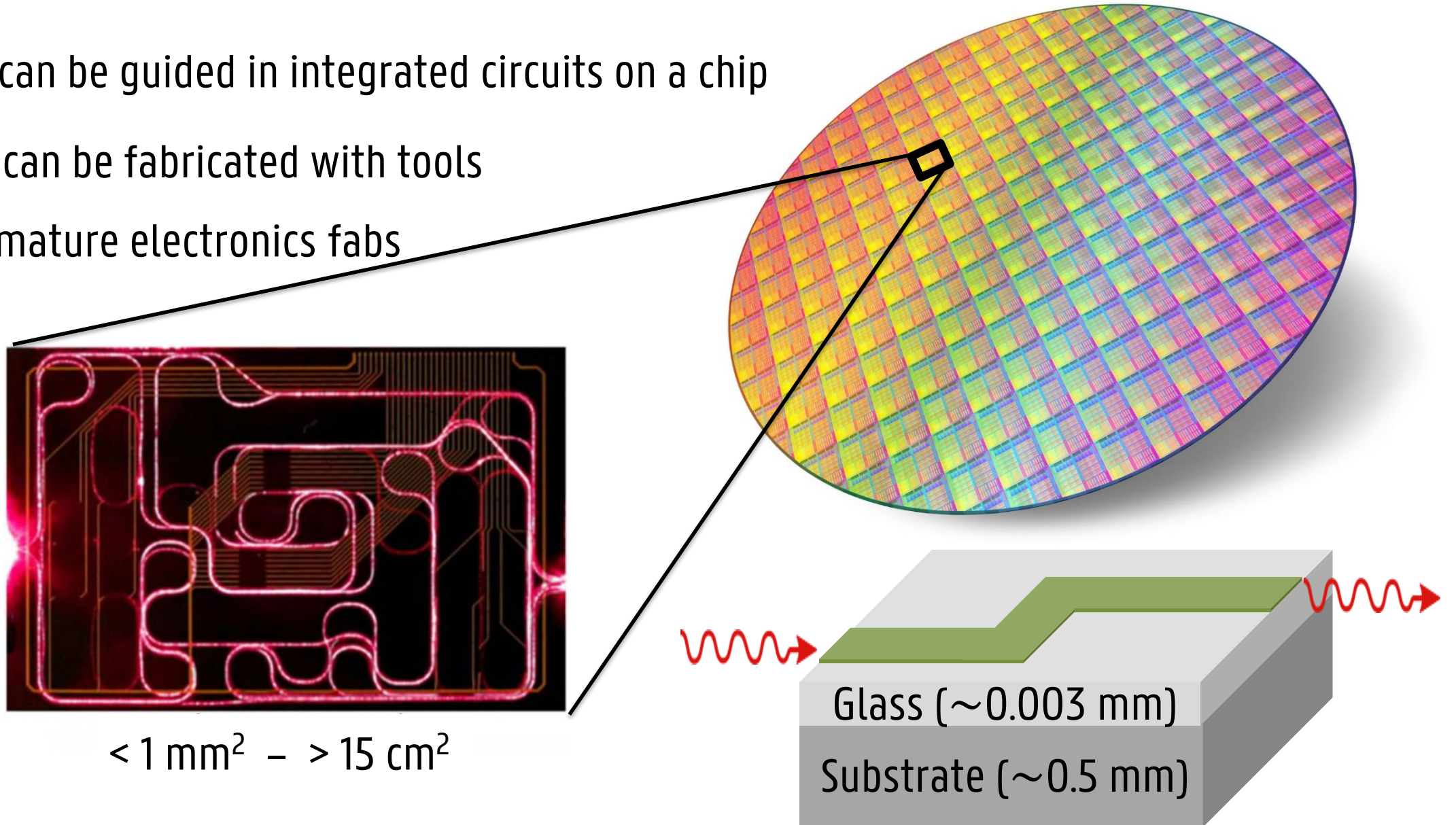
# INTEGRATED PHOTONICS

- Light can be guided in integrated circuits on a chip
- Chips can be fabricated with tools from mature electronics fabs



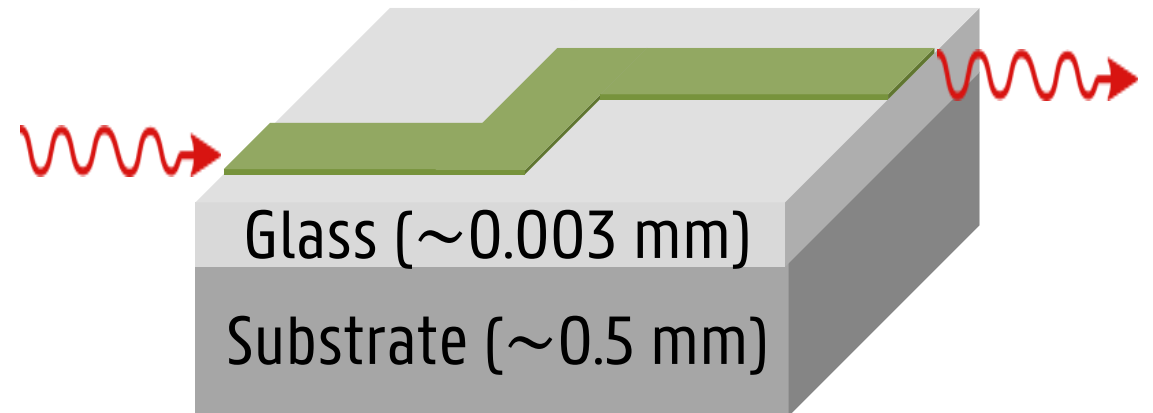
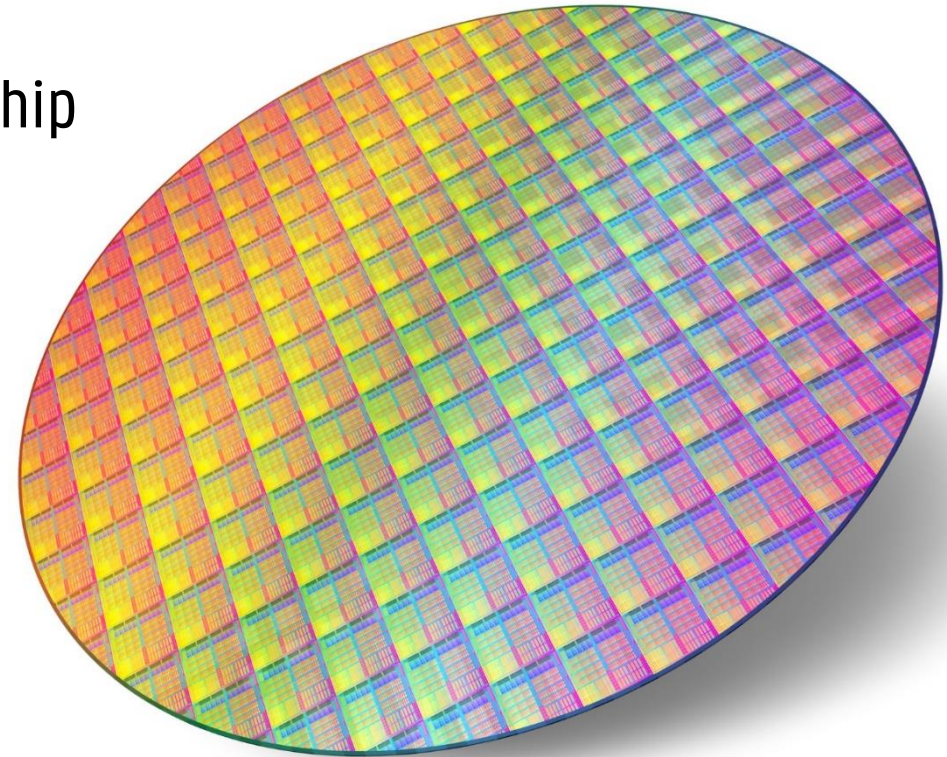
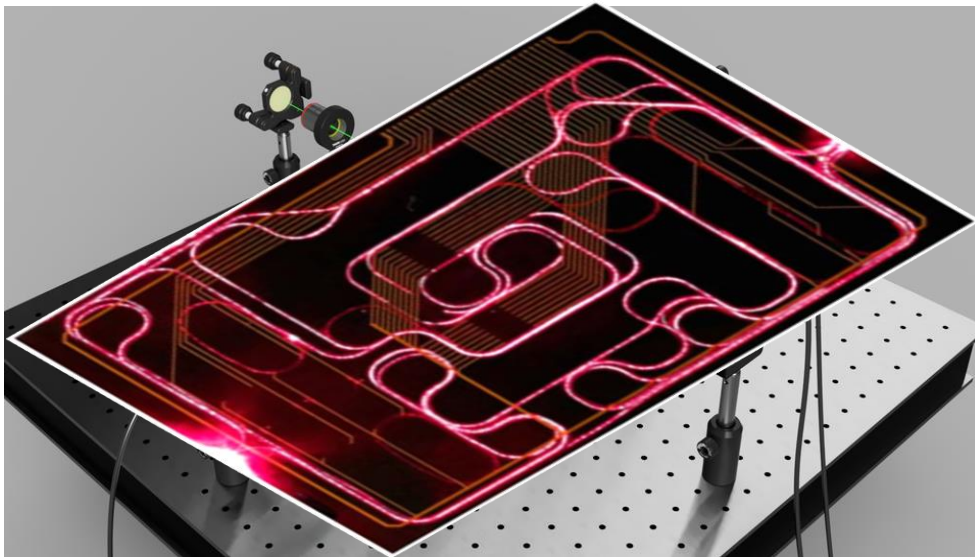
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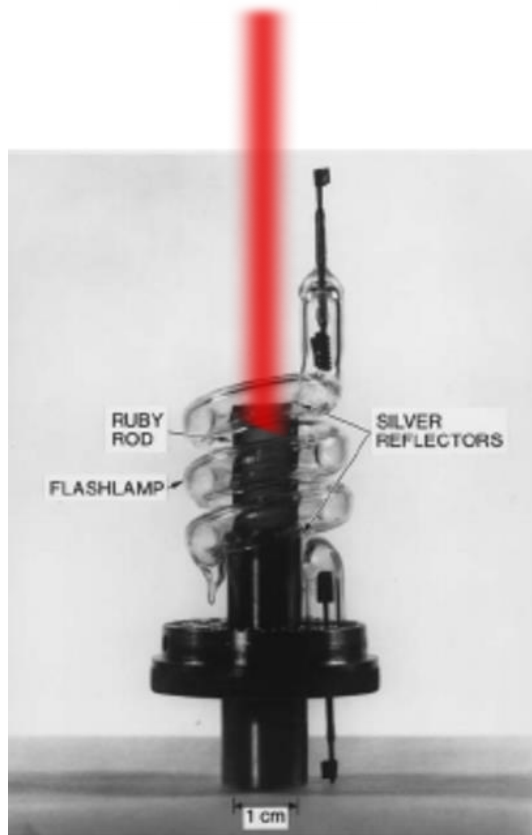
- Light can be guided in integrated circuits on a chip
- Chips can be fabricated with tools from mature electronics fabs



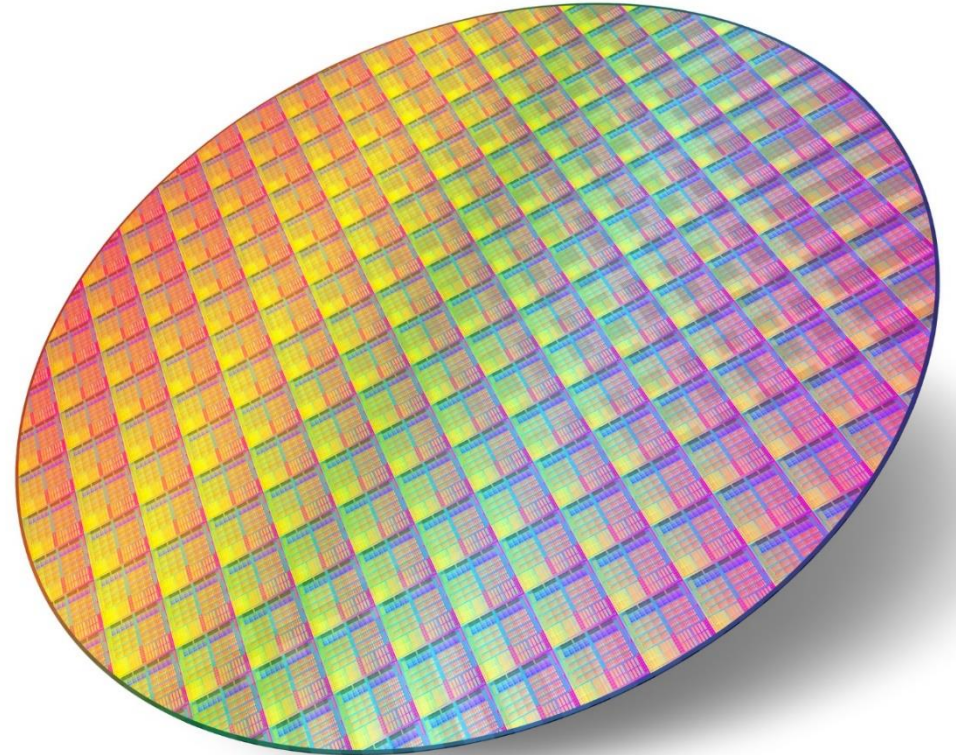
# INTEGRATED PHOTONICS

- Light can be guided in integrated circuits on a chip
- Chips can be fabricated with tools from mature electronics fabs





+



Lasers

Integrated photonics

Integrated lasers?

# LASERS WITH DIFFERENT GAIN MEDIA

Many materials/substances can be used for lasers, with varying colours and efficiencies.

- Gas lasers (e.g. CO<sub>2</sub> laser, He-Ne laser)
- Dye lasers (NL: kleurstof; organic molecules emitting mostly visible light )
- Solid state lasers (e.g. ruby laser)
- Semiconductor lasers (III-V semiconductor compounds; InP, GaAs, GaN, GaSb)

→ **Which laser is more suitable for integrated circuits?**

# LASERS WITH DIFFERENT GAIN MEDIA

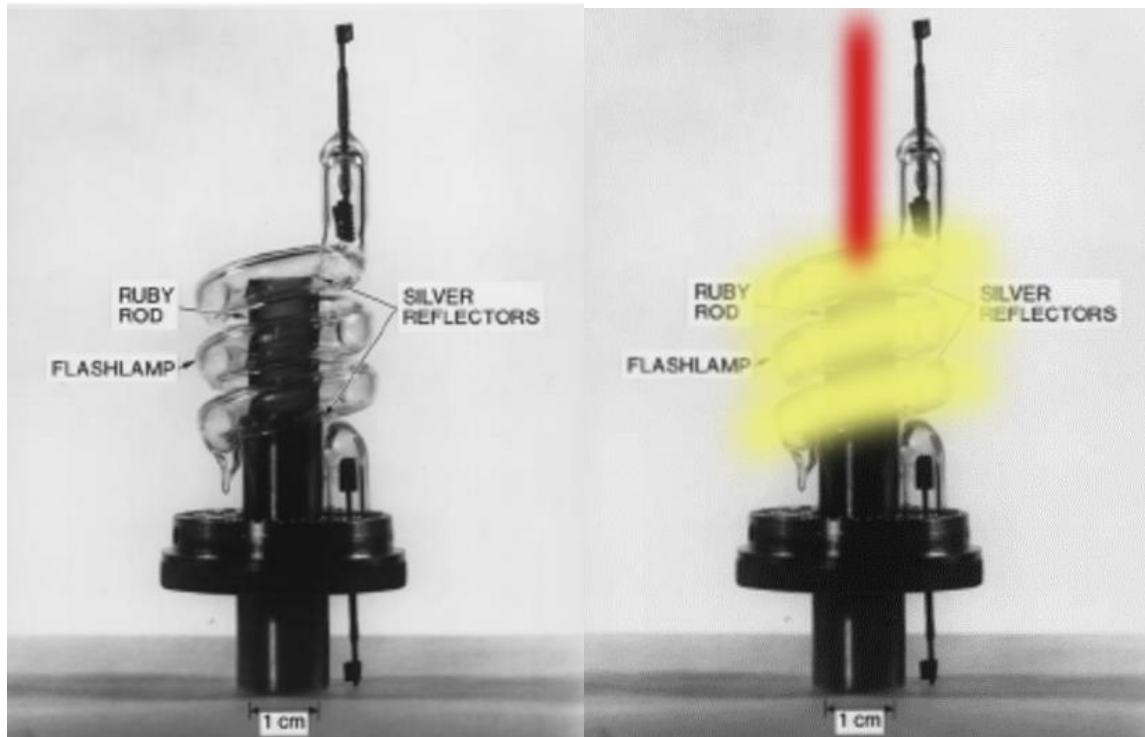
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- Dye lasers (NL: kleurstof; organic molecules emitting mostly visible light )
- Solid state lasers (e.g. ruby laser)
- **Semiconductor lasers → Electrical pumping**

# OPTICAL VERSUS ELECTRICAL PUMPING

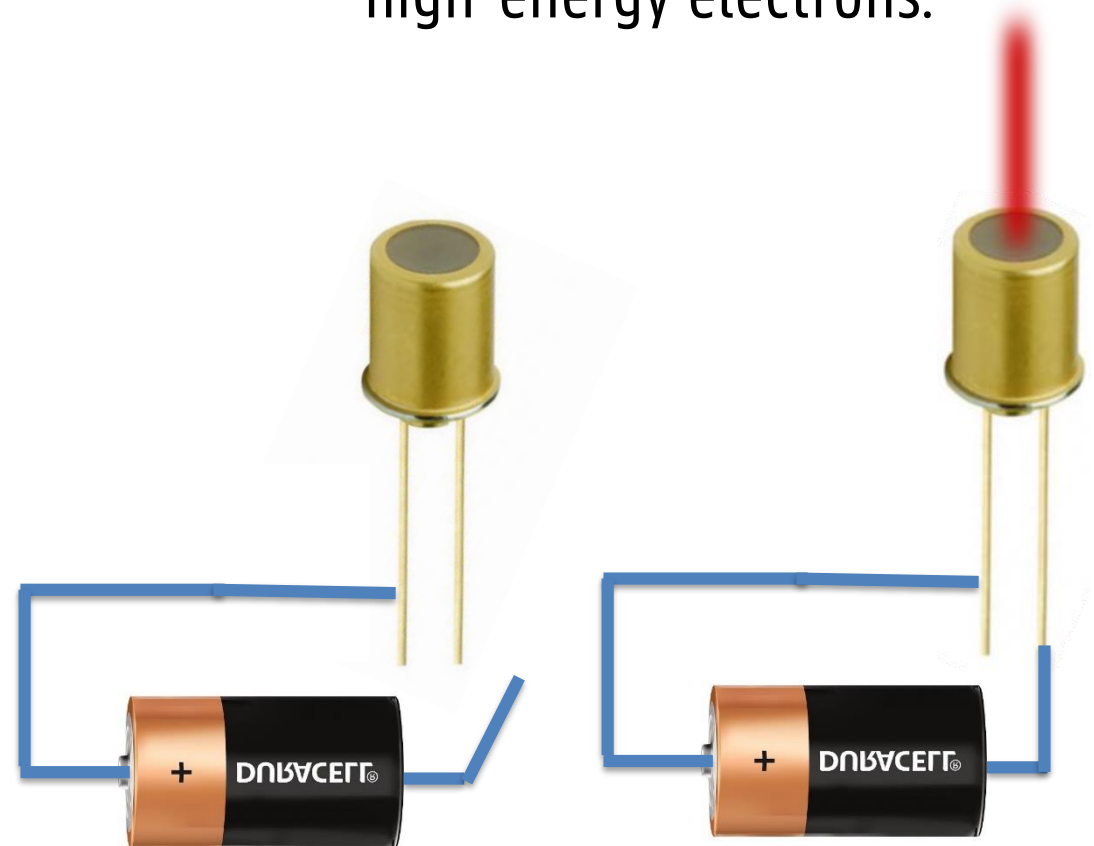
## Optical pumping

Energy of high-energy pump photon is converted to lasing photon.



## Electrical pumping in semiconductors

Photons are generated by injected high-energy electrons.



## 3 main integrated photonics platforms

- Indium phosphide
- Silicon-on-insulator
- Silicon nitride



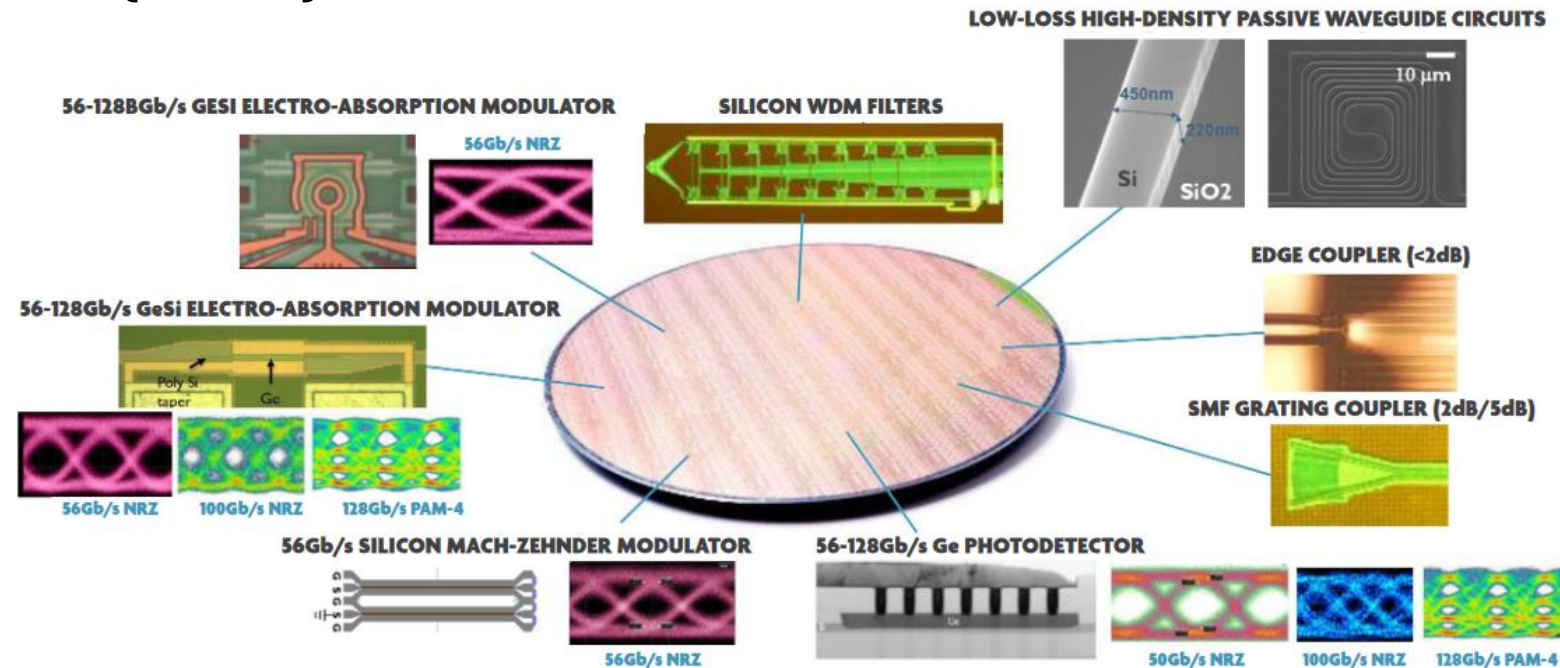
# INTEGRATED PHOTONIC PLATFORMS – INDIUM PHOSPHIDE

- **III-V materials** group: InP, GaAs, GaN, GaSb
- **Light generation, modulation, detection**
- Higher losses in passive components.
- (Currently) limited to smaller wafers
- First “complete” PIC in 1987

	1	2										3	4	5	6	7	8	
1	1 H																2 He	
2	3 Li	4 Be										5 B	6 C	7 N	8 O	9 F	10 Ne	
3	11 Na	12 Mg										13 Al	14 Si	15 P	16 S	17 Cl	18 Ar	
4	19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr
5	37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe
6	55 Cs	56 Ba	* 71 Lu	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn
7	87 Fr	88 Ra	* 103 Lr	104 Rf	105 Db	106 Sg	107 Bh	108 Hs	109 Mt	110 Ds	111 Rg	112 Cn	113 Nh	114 Fl	115 Mc	116 Lv	117 Ts	118 Og
			* 57 La	58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb		
			* 89 Ac	90 Th	91 Pa	92 U	93 Np	94 Pu	95 Am	96 Cm	97 Bk	98 Cf	99 Es	100 Fm	101 Md	102 No		

# INTEGRATED PHOTONIC PLATFORMS – SILICON-ON-INSULATOR

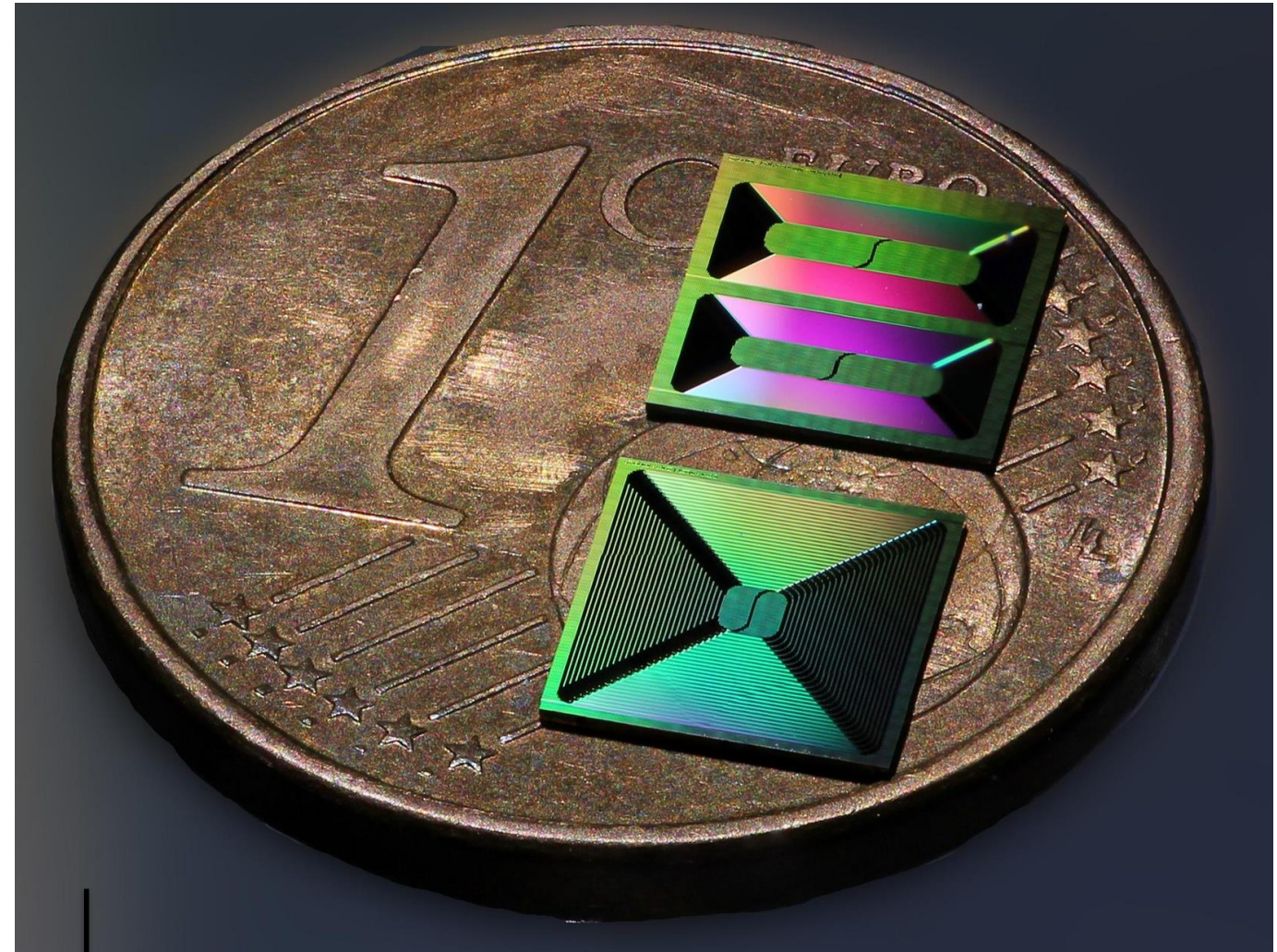
- Leverages from CMOS foundries for **high-volume** fabrication
- Cheaper raw materials
- Better performant passive devices (vs. InP)
- **Light modulation, detection**
- Common on 200mm wafers, possible up to 300mm
- **No native light source**



Imec's versatile 50G+ silicon photonics platform

# INTEGRATED PHOTONIC PLATFORMS – SILICON NITRIDE

- Can be processed in CMOS fabs
- **Ultra-low losses** possible
- Best for passive filters
- **Lower refractive index**
- **Transparent at visible wavelengths**
- Common on 100mm wafers, possible on 200mm
- **No native active functionality**



1 meter long waveguides on small  $\text{Si}_3\text{N}_4$  chips

# OVERVIEW

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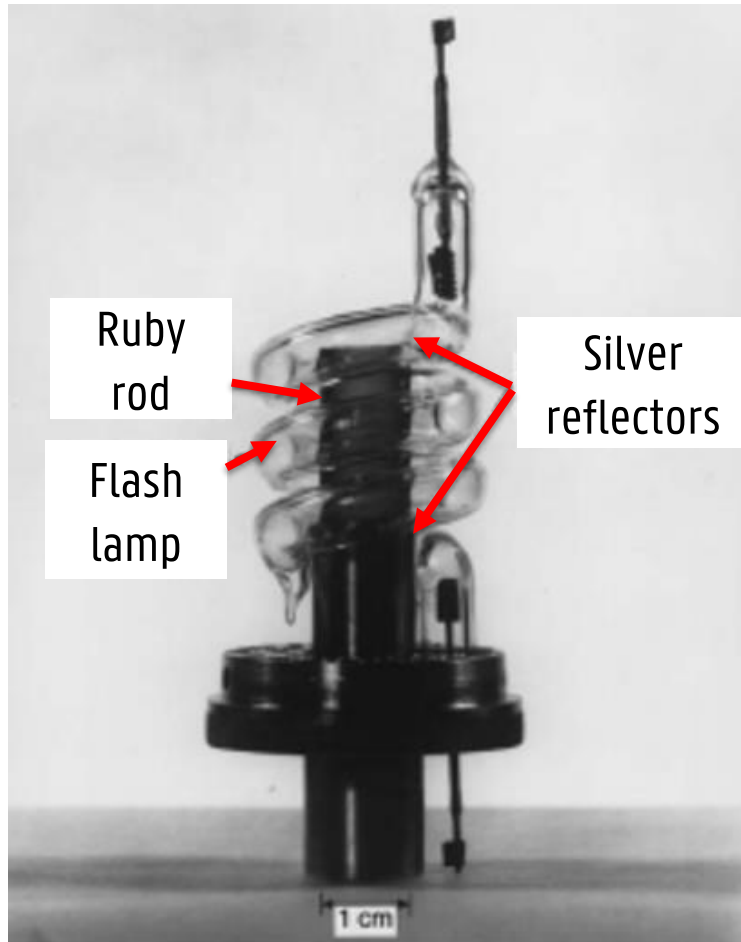
# EXTERNAL CAVITY LASERS

A **simple** laser needs 3 elements:

1. An optically active material (ruby)
2. A pump (flash lamp)
3. Mirrors (silver reflectors)

→ Still not perfectly monochromatic

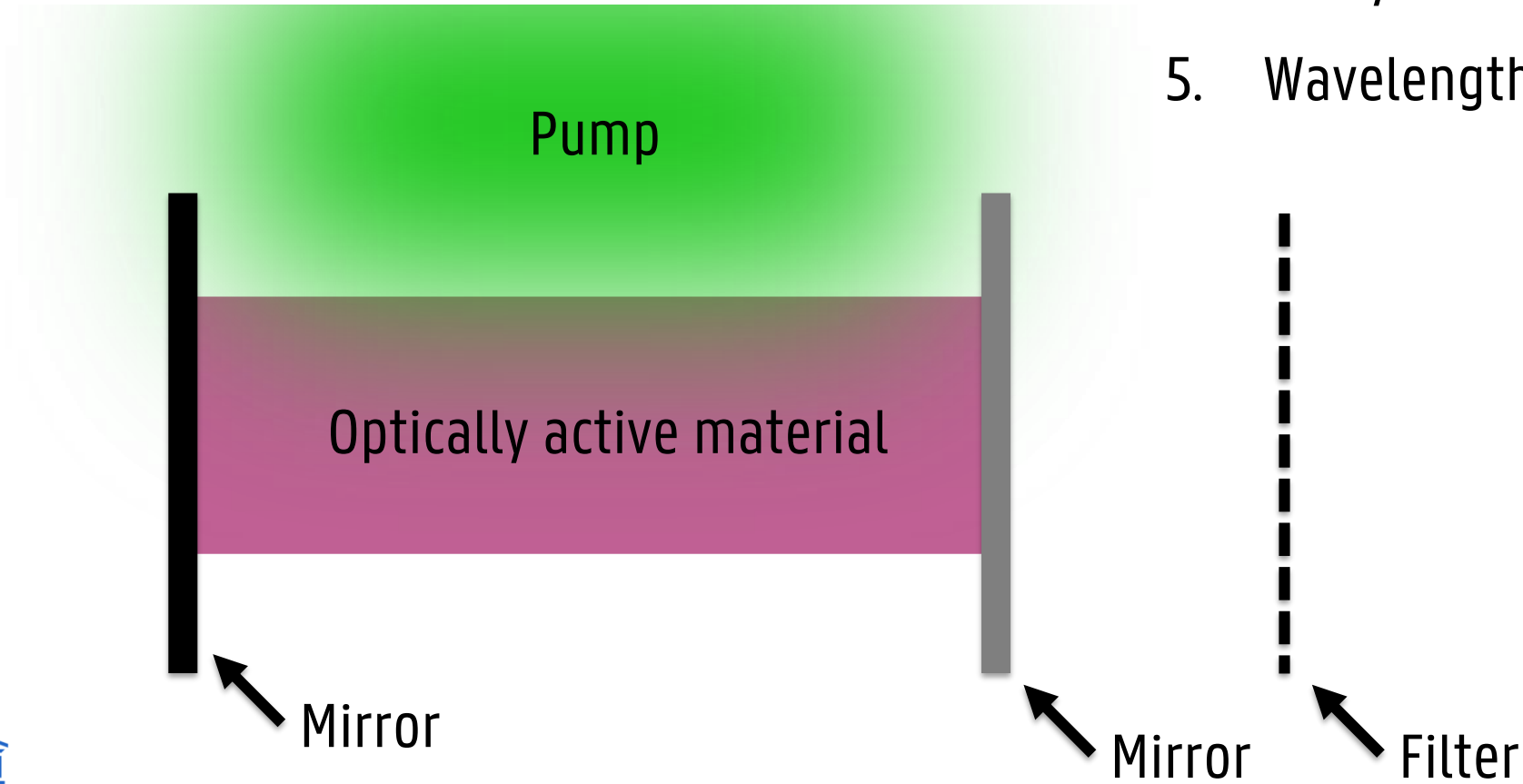
Remember:  $\frac{\lambda}{\Delta\lambda} \approx 10^7 - 10^8$  (LED x 1 million)



# EXTERNAL CAVITY LASERS

A **more pure** laser needs more elements:

1. An optically active material (ruby)
2. A pump (flash lamp)
3. Mirrors (silver reflectors)
4. Delayed feedback
5. Wavelength (colour) filter



# EXTERNAL CAVITY LASERS ON $\text{Si}_3\text{N}_4$

How do we combine all elements for a pure laser on an integrated chip?

1. An optically active material → Indium phosphide ( III-V )
2. A pump → Electrical pumping
3. Mirrors →  $\text{Si}_3\text{N}_4$ , SOI, InP ...
4. Delayed feedback → Silicon nitride (low waveguide losses)
5. Wavelength (colour) filter → Silicon nitride (superior filters)

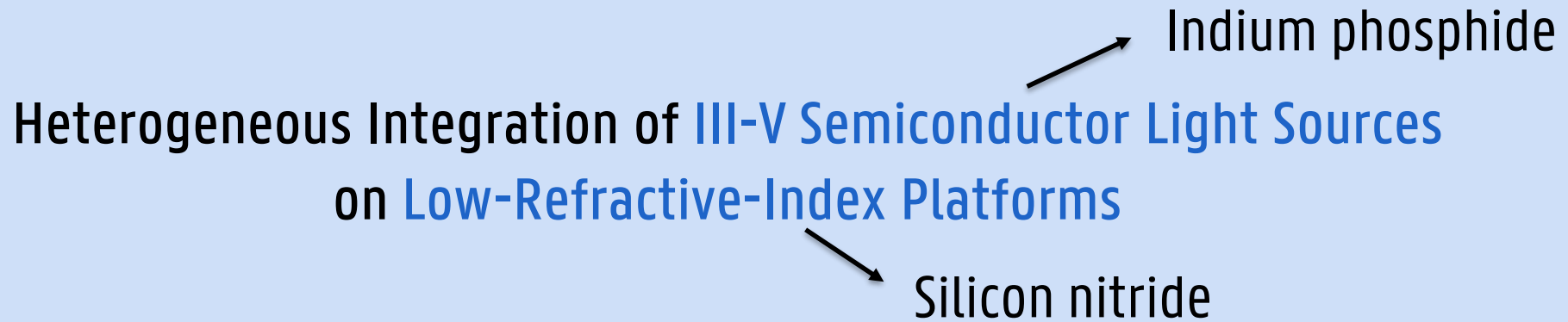
Best published results with this combination:  $\frac{\lambda}{\Delta\lambda} \simeq 10^{12}$  ('normal laser' x 10 000 )  
→ line widths < 100 Hz @  $f = 192$  THz

How to make small, chip-integrated lasers  
with the purest possible colour?

Heterogeneous Integration of **III-V Semiconductor Light Sources**  
on **Low-Refractive-Index Platforms**

Indium phosphide

Silicon nitride



Hoe maken we kleine, op een chip geïntegreerde lasers  
met de zuiverst mogelijke kleur?

Heterogene integratie van **III-V-halfgeleiderlichtbronnen**  
op **platformen met lage brekingsindex**

Indiumfosfide

Siliciumnitride



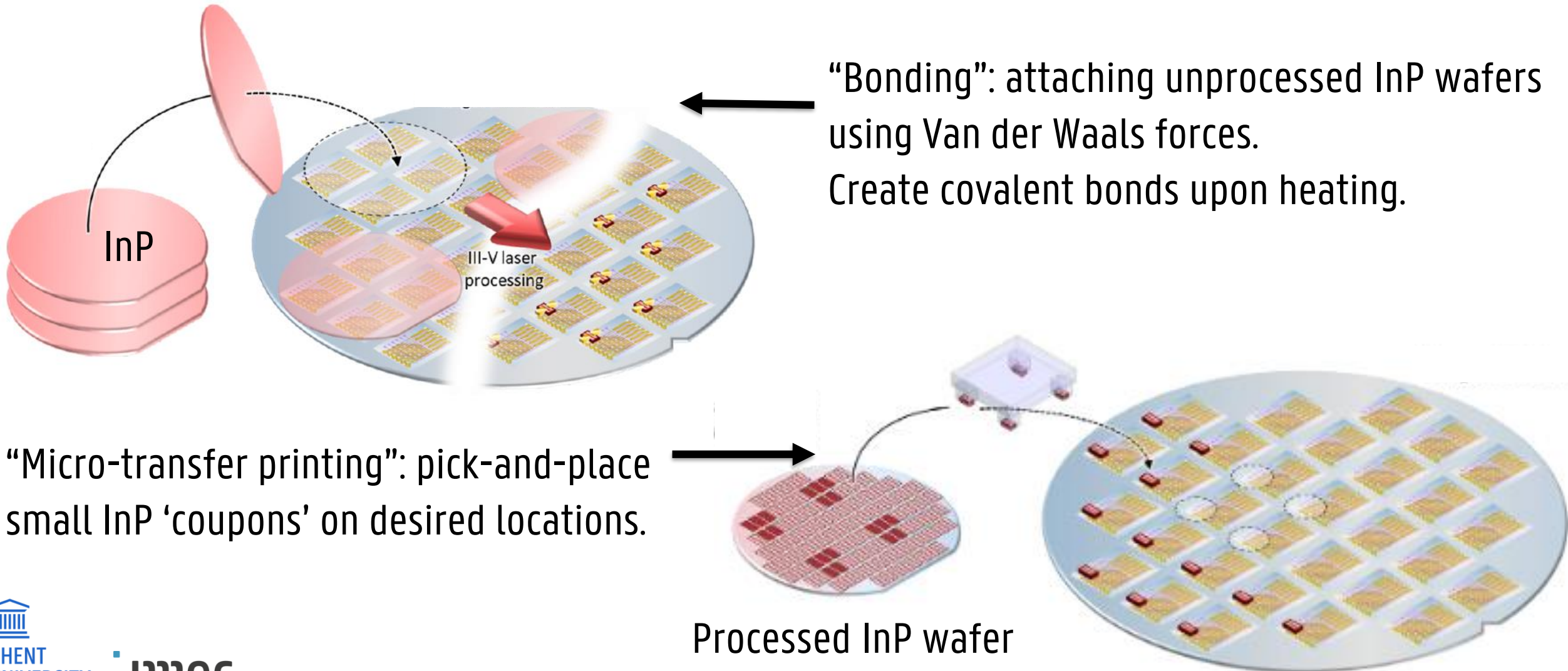


# OVERVIEW

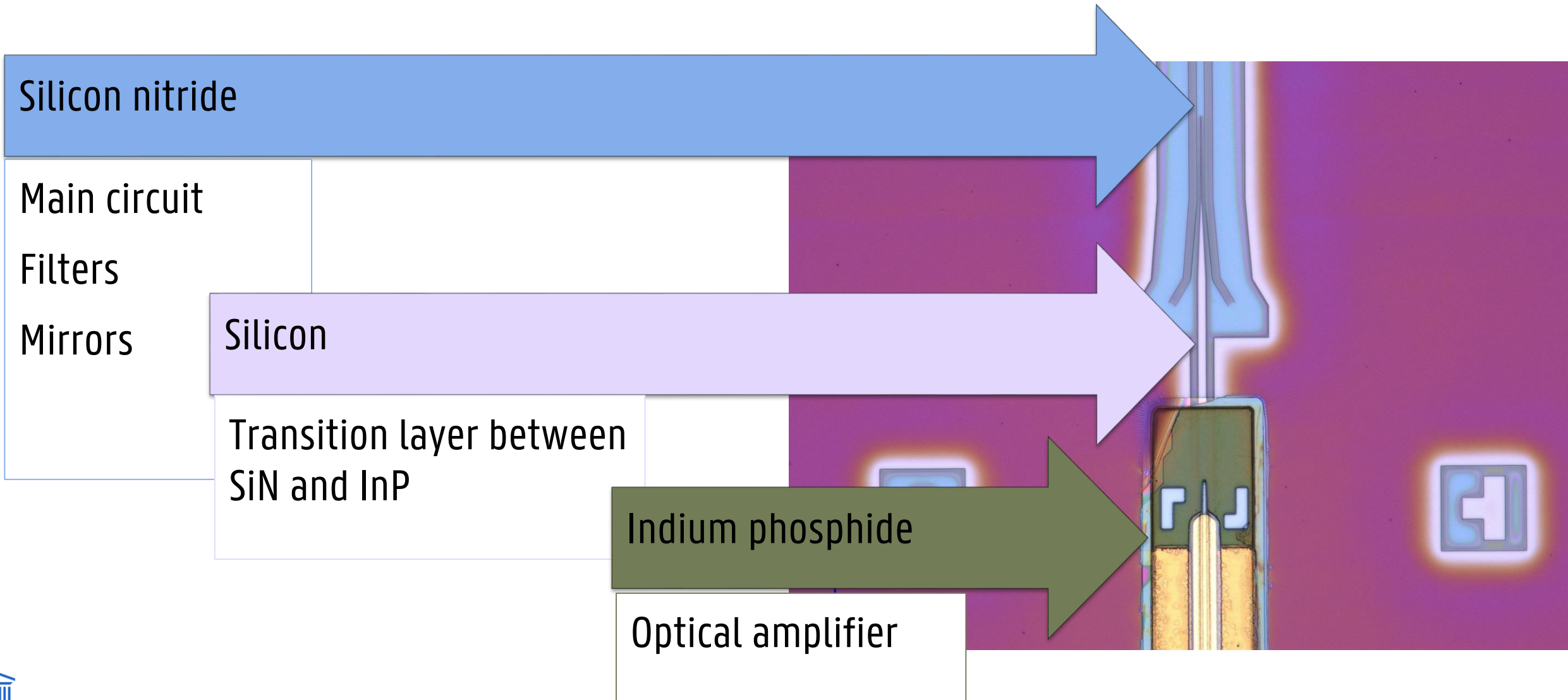
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# HETEROGENEOUS INTEGRATION

Problem: how to integrate two different material classes on the same chip?



# INTEGRATION OF INP ON SILICON NITRIDE



# OVERVIEW

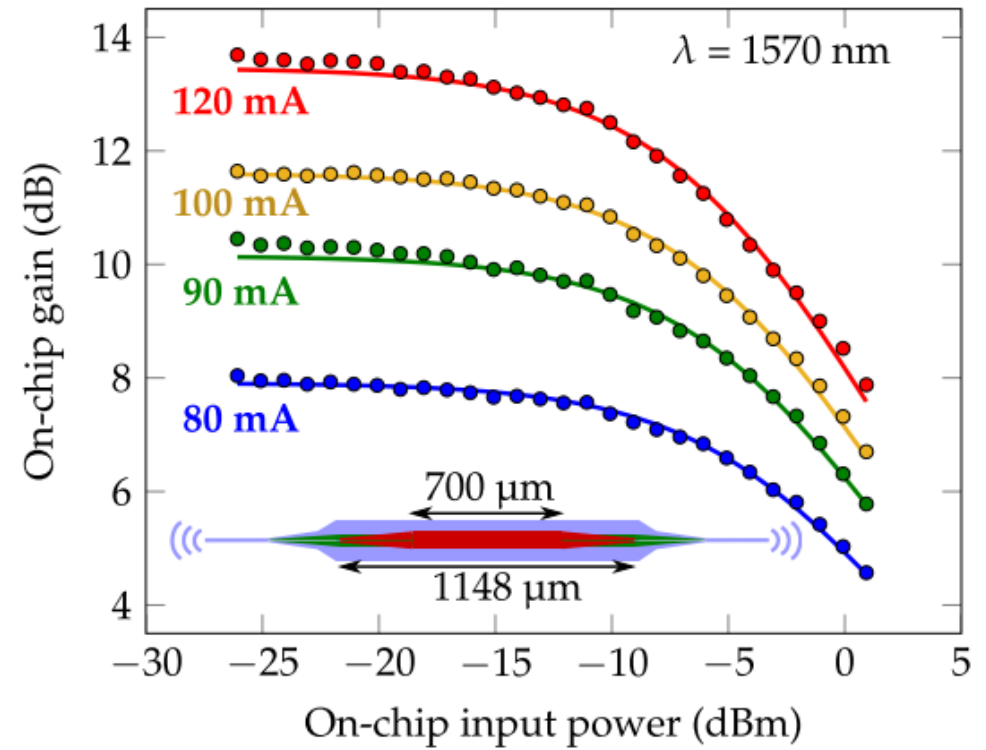
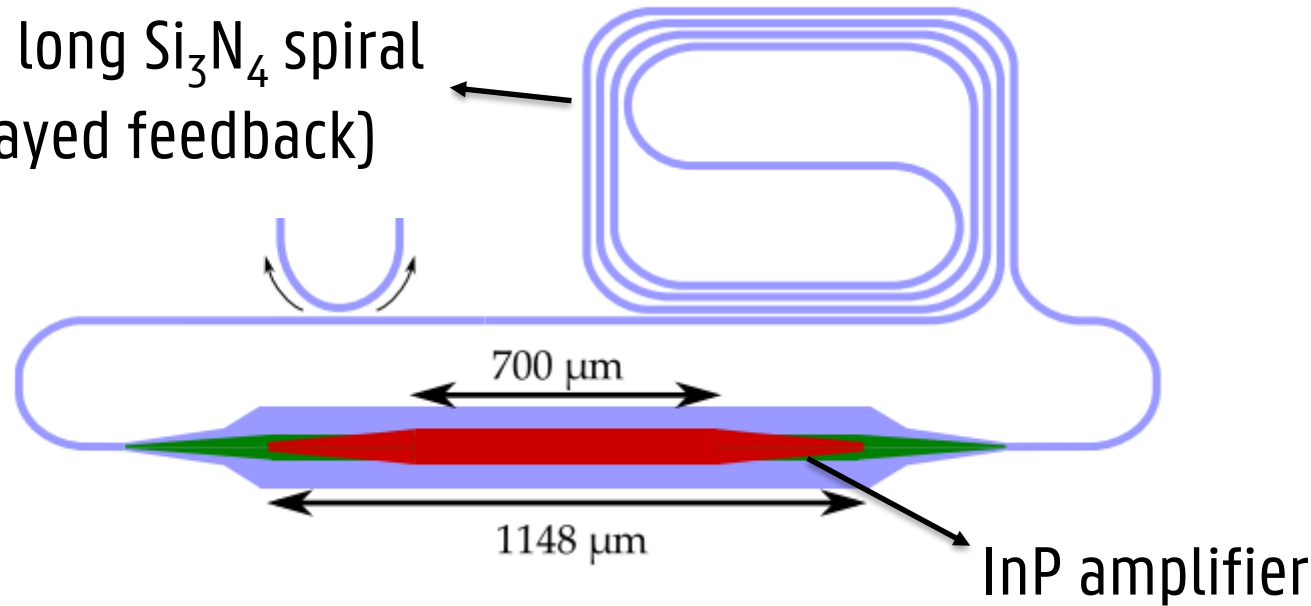
- Light – a general introduction
- History of the laser
- Integrated photonics
- External cavity lasers on  $\text{Si}_3\text{N}_4$
- Heterogeneous integration
- Results

# RESULT 1: AMPLIFICATION AND LASING ON SILICON NITRIDE

## Heterogeneous III-V on silicon nitride amplifiers and lasers via microtransfer printing

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1 cm long Si<sub>3</sub>N<sub>4</sub> spiral  
(delayed feedback)



Amplification up to x 23  
of the input signal.

# RESULT 2: SINGLE-COLOUR LASING ON SILICON NITRIDE

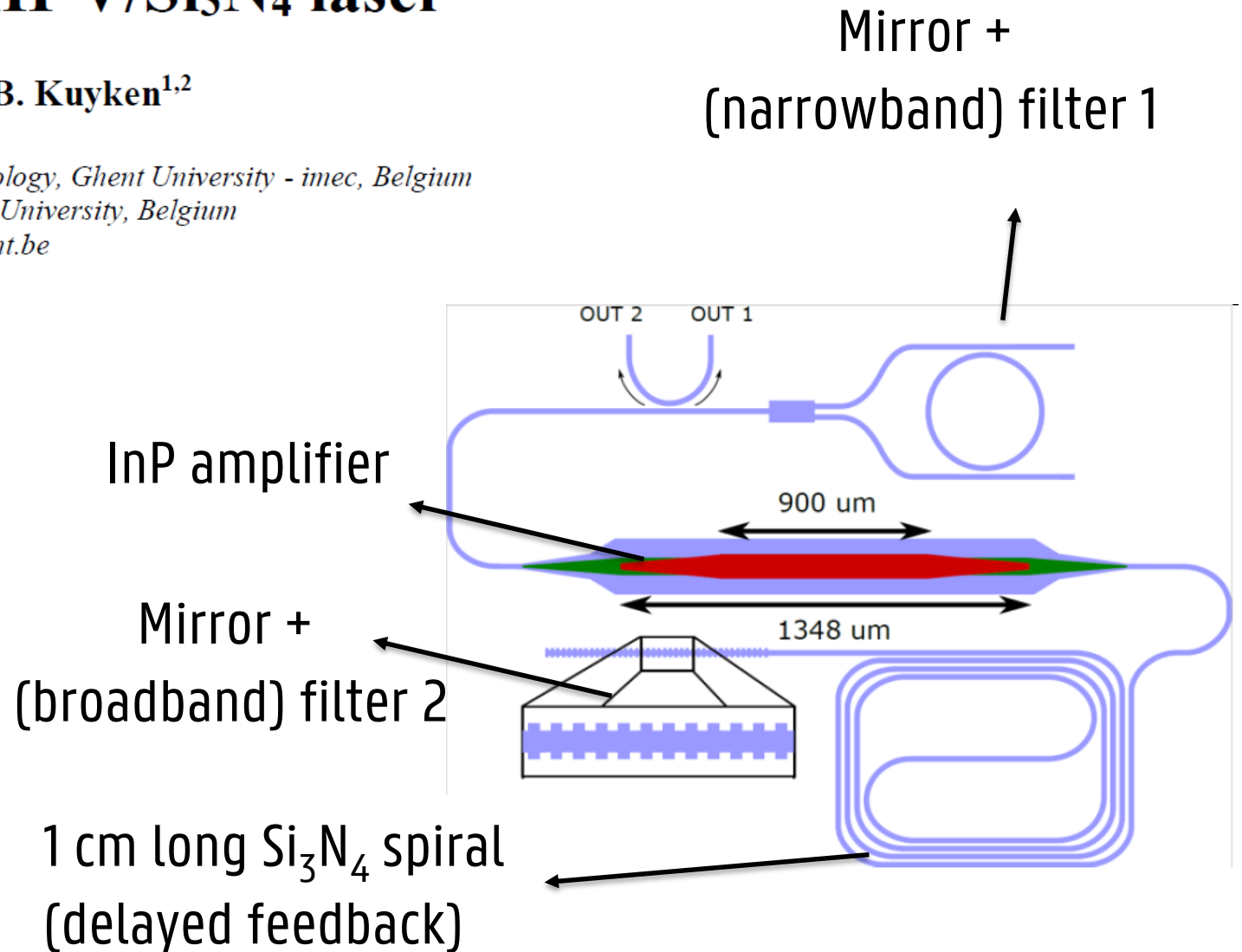
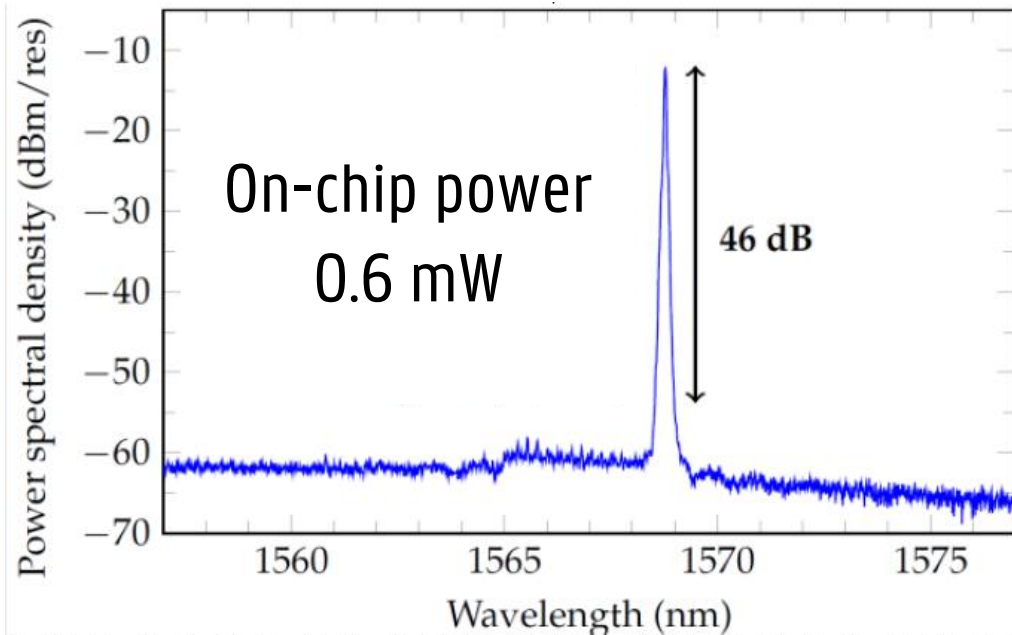
## Single-mode heterogeneous III-V/Si<sub>3</sub>N<sub>4</sub> laser

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# RESULT 3: ADJUSTABLE-COLOUR LASING ON LITHIUM NIOBATE

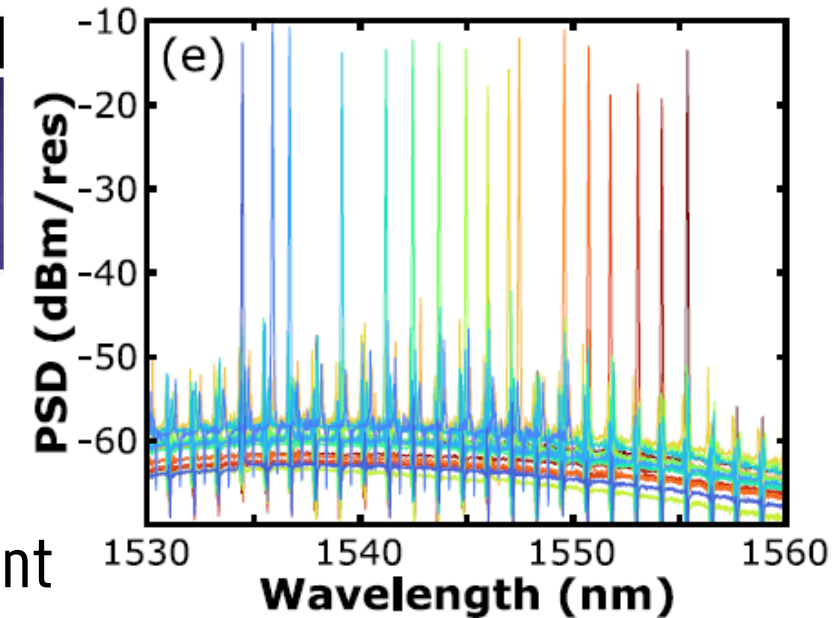
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Memorandum

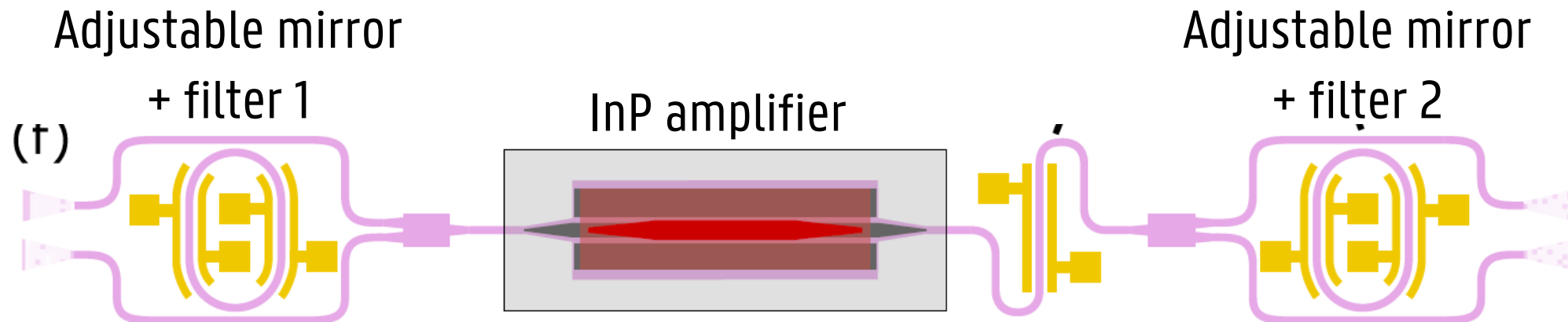
## OPTICA

### III/V-on-lithium niobate amplifiers and lasers

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Lasing colour adjustment  
achieved over a 21 nm span.



# Q&A